

# UNIVERSITY OF PENNSYLVANIA Almanac

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## W. P. Carey Foundation's Historic \$125 Million Gift to Name Penn's Law School

The W. P. Carey Foundation, one of the leading philanthropic supporters of educational institutions, has made a \$125 million gift to the Law School at the University of Pennsylvania, the largest gift ever to a law school. In recognition of the Foundation's generosity and in honor of the Carey family and its long-term involvement with Penn, the school will be named the University of Pennsylvania Carey Law School.

With this transformative gift, the Carey Law School will build upon its already strong and highly recognized academic programs, attracting the most talented students who graduate prepared to succeed as lawyers and leaders. The gift will allow the Carey Law School to increase student financial support, ensuring robust support for historically underrepresented students; expand upon one of the most long-standing, rigorous and expansive pro bono programs of any peer law school; and support the recruitment of the finest scholars who will generate new research insights that will inform and impact the profession.

### Michael Hanchard: Kummerle Professor of Africana Studies

Michael Hanchard, professor and chair of Africana studies, has been named Gustave C. Kummerle Professor of Africana Studies. Before coming to Penn in 2015, Dr. Hanchard was the SOBA Presidential Professor of Political Science at Johns Hopkins University, where he directed the Racism, Immigration and Citizenship Program and served as Chair of the Provost's Advisory Committee.



Michael Hanchard

Dr. Hanchard's distinguished scholarship in comparative racial politics is widely recognized. He is the author of four books, including *Party/Politics: Horizons in Black Political Thought* and *The Spectre of Race: How Discrimination Haunts Western Democracy*, and many other publications. His research has received support from the Ford and MacArthur Foundations and from the National Endowment for the Humanities. He is working on a new book, which examines the relationship between fascism and racial rule in contemporary politics.

Upon his death in 1957, Gustave C. Kummerle (C'1898) left in excess of \$4 million—the bulk of his estate—to Penn (*Almanac* November 1957). Known throughout his life as a devoted friend of Penn, he stipulated that his gift be used at the discretion of the trustees, who created this professorship with part of his bequest.



Carey Law School Dean Ted Ruger, William P. Carey II (WG'19), chairman of the W. P. Carey Foundation, and Penn President Amy Gutmann.

In addition, the gift will enrich students' preparation for long-term career success by increasing investment in innovative interdisciplinary and entrepreneurial programming. It will enable Carey Law School to provide its alumni with a rich program of lifetime learning and career support beyond their first job, drawing insights from the newly launched Future of the Profession Initiative. Carey Law will be at the forefront of training students in creative problem-solving and the increasing interconnectivity of commerce, law and public policy.

The W. P. Carey Foundation has a long and deep history with the University of Pennsylvania, with generations of Carey family members having attended the University over the past three centuries. This gift honors their leg-

acy and in particular the first president of the W. P. Carey Foundation, Francis J. Carey (1926-2014) (C'45, L'49), for the 70th anniversary of his law school graduation, and his brother Wm. Polk Carey (W'53) founder of the W. P. Carey Foundation and W. P. Carey Inc.

"The Carey Foundation's historic gift will further elevate an outstanding multidisciplinary program of legal education at the University of Pennsylvania," said Penn President Amy Gutmann. "The Carey family and the Foundation have deep roots at Penn as dedicated alumni and generous supporters of the University's educational mission and its students. Through this partnership their philanthropy will benefit generations of law students, the profession and the rule of law. We are grateful for and inspired by their leadership and their commitment to the University."

William P. Carey II (WG'19), chairman of the W. P. Carey Foundation said, "The W. P. Carey Foundation shares Penn's fundamental conviction that the study of law must be connected with the insights of other disciplines. This gift will bolster cross-disciplinary opportunities for law students at Penn's 11 other top-ranked graduate and professional schools, preparing the next generation of leaders in the law, business, government and in the public interest. This is a proud moment for both the Foundation and our family as we pay homage to the legacy of both Bill and Frank Carey."

### Melissa Sanchez: Donald T. Regan Professor of English

Melissa E. Sanchez, professor of English, has been appointed Donald T. Regan Professor of English. Dr. Sanchez's research and teaching focus on feminism, queer theory and 16th- and 17th-century literature, including the works of William Shakespeare and Edmund Spenser. She has made significant contributions



Melissa Sanchez

to early modern studies in her books *Erotic Subjects: The Sexuality of Politics in Early Modern English Literature*, *Queer Faith: Reading Promiscuity and Race in the Secular Love Tradition*, and *Shakespeare and Queer Theory*, as well as three edited volumes and numerous peer-reviewed articles. Currently, she is editing the *Routledge Companion to Queer Liter-*

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The following agenda is published in accordance with the Faculty Senate Rules. Any member of the standing faculty may attend SEC meetings and observe. Questions may be directed to Patrick Walsh, executive assistant to the Senate Office, either by telephone at (215) 898-6943 or by email at senate@pobox.upenn.edu

### Faculty Senate Executive Committee Agenda Wednesday, November 20, 2019 3-5 p.m.

#### The Agora, Annenberg Public Policy Center

1. Approval of the Minutes of September 4, 2019, and October 16, 2019 (2 minutes)
2. Chair's Report (5 minutes)
3. Past-Chair's Report (3 minutes)
4. Ballot: 2019-2020 Senate Nominating Committee (5 minutes)
5. Update from the Office of the Provost (45 minutes)  
Discussion with Provost Wendell Pritchett
6. Update from the Department of Public Safety (30 minutes)  
Discussion with Vice President for Public Safety Maureen Rush
7. Moderated Discussion (25 minutes)
8. New Business (5 minutes)

### Trustees Fall Meetings

The University of Pennsylvania Trustees met on November 7 and 8. During the Invocation at the Stated Meeting, Penn Chaplain Charles Howard mentioned that Penn's cross country team won the championship (*see page 4*).

Board chair David L. Cohen presented the memorial resolution for David P. Montgomery (*Almanac* May 28, 2019) as well as resolutions of appreciation for Alberto J. Chamorro and Simon D. Palley, which all passed. A resolution was also passed concerning the emeriti members of the Penn Medicine Board.

Mr. Cohen noted that a memorial resolution for Deborah Morrow will be presented at a future meeting. A memorial resolution for John Neff will be at the February 2020 meeting (*Almanac* July 16, 2019).

President Amy Gutmann presented the resolution to reappoint Steven J. Fluharty for a second term as dean of the School of Arts and Sciences through June 30, 2025 (*Almanac* September 10, 2019); it was approved.

Although by that point, the numerous protesters who were at the meeting had begun what became prolonged chanting, throughout the remainder of the Stated Meeting, repeating their demands about divesting from fossil fuel and having a town hall.

EVP Craig Carnaroli gave the financial report, which showed that for the three months that ended September 30, 2019. The Consolidated University total net assets were \$19.3 billion, \$758 million above the prior year. The \$122 mil-

lion increase in net assets from operations was \$44 million above the prior year due to strong operating performance from the Health System. Expenses of \$2.7 billion were \$199 million above prior year with compensation being the significant portion. For the Academic Component, capital expenditures totaled \$112 million.

Other resolutions the Trustees passed:

- A power purchase agreement for the delivery of solar-generated electricity; it will reduce the University's current annual carbon emissions by 75 percent.
- Gregory College House HVAC installation and Mayer College House painting: \$21.45 million.
- Steinberg Hall-Dietrich Hall 3rd floor, Behavioral Labs and Undergraduate Division renovations, \$14.86 million.
- Up to \$740 million in indebtedness for financing certain capital projects and refinancing certain indebtedness for the Health System.

Judith L. Bollinger was elected as an emeritus trustee; James H. Greene, Jr., as a charter trustee; Bonnie Miao Bandeen and Ofer Nemirovsky as term trustees.

There were numerous appointments to the various boards of overseers, from Annenberg Center to Wistar Institute.

At the conclusion of the meeting, it was also announced that in recognition of a recent gift of \$125 million from the W. P. Carey Foundation, the Penn Law School would be changing its name to the Carey Law School (*see page 1*).

### Associate Director of Development and Van Doren Fellow for ICA

ICA recently welcomed two new staff members, Taja Jones as associate director of development, and Amanda Silberling, 2019-2020 Van Doren Fellow.

Prior to joining ICA's development team, Taja Jones was the associate director of development and board liaison at the Greater Philadelphia Cultural Alliance. She received her BA in cultural anthropology and religious studies from the University of Pennsylvania and her MS in museum leadership from Drexel University.

Amanda Silberling graduated from the University of Pennsylvania in 2018 and was festival coordinator for the Luang Prabang Film Festival in Luang Prabang, Laos, where she was a Princeton in Asia Fellow.

Their work at ICA will be instrumental in making art accessible to all.



Taja Jones



Amanda Silberling

### Melissa Sanchez: Donald T. Regan Professor of English

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ary Studies and has begun two new book-length projects, "What Were Women Writers?" and "Feminism Now: Method and Affect."

Dr. Sanchez has been a member of the Executive Committees of the International Spenser Society and the Milton Society of America, and she currently serves on the editorial board of *Shakespeare Quarterly*. At Penn, she is also a member of the Graduate Group in Comparative Literature and Literary Theory and Core Faculty in Gender, Sexuality and Women's Studies. She has played important leadership roles, including graduate chair of English and director of the Penn-in-London Summer Program. She has also been a Penn Fellow. In 2009, she received the Penn Arts & Sciences Edmund J. and Louise W. Kahn Award for Distinguished Teaching by an Assistant Professor.

This professorship is named for the late Donald T. Regan (Hon'72), who had an impressive career as an executive at Merrill Lynch, secretary of the treasury and as chief of staff for President Ronald Reagan. He also served as Chair of the University's Board of Trustees. The chair was established by Merrill Lynch and the friends of the late Mr. Regan in 1981 to recognize a distinguished scholar in English who has a strong commitment to undergraduate teaching, particularly the teaching of writing. This chair reflects Mr. Regan's interest in the English language and his belief that the development of a student's use of language is one of the most important ends of higher education.

### Providing Students with ISBNs and Price Information for Books

The Higher Education Opportunity Act requires universities to make available to students, for each course, the International Standard Book Numbers (ISBNs) and price information for required/recommended books and supplemental materials.

To comply with this requirement, the University of Pennsylvania has worked closely with Barnes & Noble, managers of the Penn Bookstore, to maintain a simple and cost-effective process to provide ISBNs to our students. Through the Bookstore's online system, students will have access to a complete list of materials for all their courses, along with the ISBNs for each listed text.

As in the past, textbook information can be provided to other vendors, and students are in no way required to purchase their books at the Penn Bookstore.

Faculty are key to the success of the University's efforts to act in accordance with this regulation. To that end, the efforts by Penn faculty members to work with the Bookstore to provide this important information for our students are both critical and appreciated.

—Wendell E. Pritchett, Provost  
—Beth A. Winkelstein, Vice Provost for Education

# Deaths

## Bill Crombleholme, Medicine and Student Health

William (“Bill”) Crombleholme, former assistant instructor at Penn’s School of Medicine and staff physician at Student Health, passed away at St. Luke’s Hospital in New Bedford, Massachusetts, on September 27 after a brief illness. He was 73.

Dr. Crombleholme graduated from Fordham University and Downstate Medical School in New York City. He did his internship at Hartford Hospital and his residency in obstetrics and gynecology and fellowship in maternal fetal medicine at the University of Pennsylvania.

In 1974, he joined Penn as an assistant instructor in OB/GYN. He held a secondary role beginning in 1976 as a staff physician for Student Health. He was a post-doc fellow in 1977 at Penn, and he left the University in 1978. He spent 14 years as the vice chair for clinical affairs and residency program director at Magee Women’s Hospital at the University of Pittsburgh and was instrumental in training a generation of obstetricians and maternal fetal medicine fellows from that program. He also held a faculty position at UCSF. Dr. Crombleholme volunteered and was a docent at the Dali Museum since 2012.

He is survived by his three siblings, Catherine Travers (Rick), Timothy O’Neill (Peg) and Megan O’Neill (Bill); nephews, Chris, Jon, Dan and Noah Travers, Matt O’Neill and Kye Crombleholme; nieces, Caitlin and Hayley Crombleholme and Molly O’Neill; and cousin, Maggie Keefe.

### To Report A Death

*Almanac* appreciates being informed of the deaths of current and former faculty and staff members, students and other members of the University community. Call (215) 898-5274 or email [almanac@upenn.edu](mailto:almanac@upenn.edu)

However, notices of alumni deaths should be directed to the Alumni Records Office at Suite 300, 2929 Walnut St., (215) 898-8136 or email [record@ben.dev.upenn.edu](mailto:record@ben.dev.upenn.edu)

## Josephine Templeton, CHOP

Josephine (“Pina”) Templeton, a pediatric anesthesiologist at CHOP and member of a prominent philanthropic family in the Philadelphia area, died October 25 of a blood disorder at her home in Bryn Mawr. She was 79.

Dr. Templeton was born on the island of Capri, Italy. Her family moved to the United States when she was nine and settled in Brooklyn, New York. She earned a bachelor’s degree in biology from Fordham University in 1961 and a medical degree from the University of Rome in 1968.

Dr. Templeton returned to the US to serve a medical internship and residency at the Medical College of Virginia from 1968 to 1974. After completing a residency in anesthesiology at the Hospital of the University of Pennsylvania and a fellowship in pediatric anesthesiology and critical care at CHOP, she accompanied her husband to Virginia when he served in the Navy.

In 1978, she joined the Penn faculty as an assistant professor in anesthesia while serving at CHOP as senior clinical anesthesiologist. She worked at CHOP for 20 years, often serving on surgical teams with her husband, including those that separated conjoined twins. One of a handful of female pediatric anesthesiologists, Dr. Templeton was a role model for other women who aspired to the specialty. In 1981 she became an assistant professor CE and in 1990, an assistant clinical professor.

In 2017, Dr. Templeton committed \$3 million to brain tumor research in a collaborative effort led by CHOP. Her husband had died of brain cancer, and the family hoped to defeat the disease by bringing together medical institutions to study it.

Dr. Templeton and her husband were instrumental in founding the Museum of the American Revolution. She also served on its board. Dr. Templeton was a member of the Union League of Philadelphia and a trustee of its Scholarship Foundation. The Templetons created the Sir John Templeton Heritage Center, the home of the league’s philanthropic arm, and starting in 2017, she funded the Jack Templeton Liberty Lecture Series. She served on the board of Opera Philadelphia, Opportunity International and the Salvation Army Women’s Auxiliary.

The Templetons received the 2006 Heroes of Liberty Award from the National Liberty Museum and the 2012 Crystal Award from the Union League. She was honored with the 2015 Special Achievement Award in Philanthropy from the National Italian American Foundation.

She is survived by her daughters, Heather Templeton Dill and Jennifer Templeton Simpson; six grandchildren; and two sisters.

## Emily Mitchell Wallace Harvey, English

Emily Mitchell Wallace Harvey, former assistant professor of English at Penn, died September 29 from cancer. She was 85.

Dr. Wallace was born in Springfield, Missouri. She earned a bachelor’s degree from what is now Missouri State University in 1958. She completed a master’s degree in 1959 and a doctorate in 1965, both from Bryn Mawr College.

Dr. Wallace began her professional career teaching history and English literature at the Curtis Institute of Music in Philadelphia and then went on to teach literature at the Shipley School in Bryn Mawr.

In 1961, she was hired as an instructor in the English department at Penn. She was promoted to assistant professor in 1966.

Dr. Wallace served as a visiting assistant professor of English at Swarthmore and then returned to the Curtis Institute of Music as the chair of their English department, 1976-1983, taking a brief interlude to serve as a leader in the interdisciplinary seminar at Yale University.

Since 2001, Dr. Wallace had been a research associate in the Center for Visual Culture at Bryn Mawr College. She was a research scholar in poetry and the visual arts at the Cooper Union in New York.

Dr. Wallace served as a guest editor for *Paideuma*, a journal of modern and contemporary poetry and poetics, for which she edited a centennial issue on William Carlos Williams in 1983. She was a member of the Modern Language Association; Association of Literary Scholars, Critics and Writers; Ezra Pound Society; and the William Carlos Williams Society.

Dr. Wallace is survived by a brother, sister, six nephews and two nieces.

## Penn Predoctoral Fellowships for Excellence Through Diversity

The University of Pennsylvania is pleased to invite applications for the 2020-2021 Predoctoral Fellowships for Excellence through Diversity. These awards are designed to provide mentorship and access to Penn’s resources for doctoral students in the humanities or social sciences, enrolled in graduate or professional programs at universities other than Penn, as they complete their dissertations. The fellowships are intended to support scholars from a wide range of backgrounds, who can contribute to the diversity of Penn and the higher education community.

These residential fellowships support graduate students in the final stages of dissertation research or writing at Penn for an academic year, normally September through August. They offer an opportunity for scholars who plan an academic career to take advantage of Penn programs and faculty expertise and afford access to libraries and the resources of the Philadelphia region. Each scholar will be selected and hosted by a department or school and assigned a faculty mentor. Recipients will be in the stage of either advanced dissertation research or writing.

The Fellowship provides a stipend of \$36,000, health insurance, library privileges and a \$4,000 research and travel fund. Moving expenses, up to \$4,000, are also covered. The application deadline is *December 16, 2019*.

This year, up to five fellowships will be awarded.

### Qualifications

Candidates for the fellowship must be a US citizen or permanent resident and enrolled in a PhD program in the humanities or social sciences at an accredited university graduate or professional school other than Penn. Candidates must have passed any PhD qualifying examinations and be in an advanced stage of research and writing on an approved dissertation topic. Candidates must be students of exceptional academic merit, who also belong to a group underrepresented in higher education; come from a socially, culturally or educationally disadvantaged background or have faced other significant personal obstacles; and/or pursue academic research on cultural, societal, or educational problems as they affect disadvantaged or underrepresented sectors of society.

### Application

Applicants should submit:

- A 2-3 page double-spaced personal statement describing the applicant’s dissertation project, and providing any other information the applicant would like to present. The statement should address:

1. the dissertation’s aims, methodologies (how the student will conduct the research), originality and contribution to its field, and
2. how the student and/or the dissertation contributes to understandings of diversity and inclusion.

- Two letters of recommendation, signed and on official letter head. One must come from the dissertation advisor, commenting on the student’s performance, potential and expected time to degree. At least one of the letters must address the applicant’s contribution to diversity in higher education.

- Official graduate transcript(s)
- Current curriculum vitae

For more information, please visit <https://provost.upenn.edu/predoctoral-fellowships>

Applications will be accepted at <http://apply.interfolio.com/68609> Questions can be addressed to the Office of the Vice Provost for Faculty at [provost-fac@upenn.edu](mailto:provost-fac@upenn.edu)

# Honors & Other Things

## Thom Mayne: Lifetime Achievement Award

*Thom Mayne*, Cret Chair Professor of Practice in the department of architecture at Penn's Weitzman School of Design, is this year's recipient of the Association for Computer Aided Design in Architecture (ACADIA)'s Lifetime Achievement Award. He also delivered a keynote speech at the annual conference at the end of last month held at the University of Texas at Austin.



*Thom Mayne*

Mr. Mayne founded Morphosis in 1972 as a collective practice of architecture, urbanism and design, rooted in rigorous research and innovation. Working globally across a broad range of project types and scales, Morphosis is recognized for its innovative and sustainable designs for cultural, civic and academic institutions, including the Bloomberg Center at Cornell Tech,

the Perot Museum of Nature and Science, and 41 Cooper Square, the academic building for The Cooper Union. As Founding Principal of Morphosis, Mr. Mayne provides overall vision and project leadership to the firm.

Mr. Mayne's distinguished honors include the Pritzker Prize in 2005 and the AIA Gold Medal in 2013. He served on the President's Committee on the Arts and Humanities under President Obama. With Morphosis, Mr. Mayne has been the recipient of 29 Progressive Architecture Awards, over 120 American Institute of Architecture Awards and numerous other design recognitions.

## Rabie Shanti: Relationship-Based Care Award

*Rabie Shanti*, who holds a joint appointment in the department of oral and maxillofacial surgery at Penn Dental and the department of otorhinolaryngology/head and neck surgery at PSOM, has been honored with the 2019 Relationship-Based Care Award by Pennsylvania Hospital. The award recognizes outstanding clinicians who fully embody the tenets of Relationship-Based Care: leadership, teamwork, professionalism, care delivery, resources and outcomes.

The relationship-based care model was established to ensure that patient needs and interests are top priority. Recipients of this award are recognized for their excellence in skillfully combining the art of caring and the science of health care in their clinical practice.

## Men's and Women's Cross Country: 1st and 3rd Place, All-Ivy Honors

Racing at the Ivy League Heptagonal Cross Country Championships on November 1 in The Bronx, New York, Penn's *men's cross country* team placed first and the *women* placed third.

Seniors *Maddie Villalba* and *Nia Akins* and sophomore *Ariana Gardizy* earned All-Ivy honors, the first time in the history of the program that three runners have earned all-conference recognition. Ms. Villalba is a First-Team All-Ivy selection and Ms. Akins and Ms. Gardizy are Second-Team All-Ivy awardees.

*Steve Dolan*, the James P. Tuppeny/Betty J. Costanza Director of Track & Field/Cross Country, was named Ivy League Coach of the Year. Junior *Anthony Russo* earned first-team All-Ivy honors, while senior *Will Daly* and junior *Ryan Renken* earned second-team distinction.

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## Moelis Fellowships: Supporting Diversity Among Students Pursuing Planning Careers

This fall, as part of ongoing efforts to support diversity within the Weitzman School, the department of city and regional planning is welcoming the first cohort of Moelis Scholars, a new program open to African-American and Latinx students who are thinking about pursuing careers in housing and community and economic development.

The Moelis Scholars Program supports students of color in the master of city planning program with tuition remission and other benefits. The goal is not just to bring people from a range of backgrounds into the planning discipline, but to help them find a way into the private development world as well, said Ron Moelis (C'78 W'78), CEO and founding partner of L+M Development Partners and the primary supporter of the new fellowship program.

"Diversity is a priority across our business, whether it be within our team, on our jobsites or in the communities where we work. We have a number of initiatives that are focused on bolstering diversity in the industry, including working with Minority and Women Owned Businesses (M/WBEs) on our construction projects and partnering with non-profits to deliver skills training," Mr. Moelis said.

The first cohort of Moelis Scholars includes Eboni Senai Hawkins from Chicago, Illinois, and Sean Dajour Smith from Grand Rapids, Michigan.

Mr. Moelis said that in the last five or 10 years, as rising land costs, zoning challenges, affordability and gentrification have become much bigger issues in American cities, the process of working with communities on development projects has become more important.

"Our work is centered around the idea that building and preserving affordable housing goes hand-in-hand with social responsibility. Our team demonstrates that every day by working with residents, non-profits and elected officials to identify ways we can help strengthen communities. That might mean partnering with a

non-profit to deliver a construction training program or provide an after-school program at one of our properties."

Entering any community with development plans can be met with skepticism, distrust and fear, Mr. Moelis said, but he is committed to bringing forward ideas that overcome these trepidations and deliver positive outcomes for residents.

"The best way to cultivate innovative and smart thinking is by having diversity of thought," Mr. Moelis said. "Diversity of thought is created by bringing a wide range of experiences, views and values to the table. By comparing and contrasting these differences, some really original ideas can be born and brought to the forefront."

Applicants to the Moelis Scholars program are asked to write an essay describing their "dream job" 10 years into the future, and how a master's in city planning would help them achieve it. For Mr. Moelis, it was a slow process to build a development career. After graduating from Penn, he went to law school and spent a few months practicing as an attorney. At the time, the federal government was changing its approach to housing, making tax credits available for private developers to build affordable

housing projects. Mr. Moelis and his partner, Sanford Loewentheil, began dabbling in housing development and slowly built a business.

"I enjoyed the intellectual challenge, that I was successful at it, and I thought it was morally something that I felt good about doing," Mr. Moelis said.

Moelis Scholars get a range of support, including two years of tuition remission, a research stipend, help finding paid summer internships, funding to attend the annual National Planning Conference and a year's membership in the American Planning Association after they finish the master's program. The program is a complement to the Penn Planning Equity Initiative, an agenda of research and public programming focused on urban inequality.

"The Moelis Scholars Program enables our students to expand on their academic studies by taking advantage of opportunities supported by Ron," said Fritz Steiner, dean of the Weitzman School. "The Program also supports key initiatives related to inclusion and diversity within the city and regional planning department."

Above all, Scholars are meant to benefit from mentorship by a faculty member and eventually, by an alumnus of the program who was a Scholar. Mr. Moelis visited campus for a meeting with the students at the beginning of September. He said he told the students that he hoped they would excel academically. But it was more important, he said, that they would come back to the School after they begin their careers and work with future Scholars, so the program builds on itself and "becomes a self-fulfilling prophecy."

"The academic experience here is great, but education is also about the ability to learn from people who've done it before and to grow that way," Mr. Moelis said. "I think that's really what we're trying to drive here: more diversity but also more of an ongoing presence where people can give back."



*Eboni Senai Hawkins*



*Sean Dajour Smith*

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### **MAR Designs, SchistoSpot: Catalyst Awards**

Penn Health-Tech's Rothberg Catalystizer is a two-day makerthon that challenges interdisciplinary student teams to prototype and pitch medical devices that aim to address an unmet clinical need. The Catalystizer's third competition was held recently and was won by *MAR Designs*, a team of mechanical engineering and applied mechanics graduate students: Rebecca Li, Ariella Mansfield and Michael Sobrepera.

MAR Designs took home \$10,000 for their project, an orthotic device that children with cerebral palsy can more comfortably wear as they sleep. According to the team, existing wrist orthoses improve function and treat/prevent spasticity but are uncomfortable. MAR Designs' device initially allows full range of motion, but gradually straightens the wrist as the child is falling asleep.

*SchistoSpot* took home the Pioneer Award. Bioengineering and computer and information science seniors Alec Bayliff, Bram Bruno, Justin Swirbul and Vishal Tien designed a low-cost microscopy system that can aid in the diagnosis of the parasitic disease schistosomiasis.

### **The DP: Pacemaker Award**

*The Daily Pennsylvanian* won the prestigious Pacemaker award, an annual accolade given out by the Associated Collegiate Press that is commonly referred to as the "Pulitzer Prize" of college journalism, for the third year in a row. Before 2017, the DP had not won the award for five years, according to the DP.

This year, *The DP* was one of 15 publications total and the only Ivy League newspaper to win the award. Other winners included papers from UCLA, Syracuse, Texas A&M, University of Alabama, Kent State, NYU and Elon.

*The DP* also took home several other awards and honorable mentions. Former DP Senior Sports Editor and 2019 College graduate William Snow won the 2019 ACP/Ernie Pyle Reporter of the Year award. Mr. Snow's winning submissions included the volleyball investigation, a column on why he never belonged at Penn and an investigation into concussions on Penn's sprint football team. Senior Multimedia Editor and College junior Chase Sutton won first place for the Sports Photo of the Year, according to the DP. To enter, college newspapers submit five representative issues to the ACP.

### **Penn: Most Grads on the Forbes 400**

*Forbes* recently ranked colleges and universities with the most graduates who are members of The Forbes 400, the publication's list of the wealthiest Americans. It does not include college dropouts like Bill Gates or Mark Zuckerberg. The 79 percent of Forbes 400 members who are college graduates went to a total of 155 schools.

According to the story, Penn "top[ped]" the list thanks largely to its renowned business school, Wharton. The cofounder of Tesla and SpaceX, Elon Musk, for one, has said that his interest in space and electronic vehicles started when he was a student at Wharton. Jonathan Gray majored in business and English at Penn ... He started as an asset manager at Blackstone Group and later ascended to the head of the firm's real estate group. He is now COO and president and is considered a potential successor to cofounder and CEO Stephen Schwarzman. President Donald Trump, who transferred there from Fordham University in the Bronx, Emerson Collective founder Laurene Powell Jobs and investors Howard Marks and Ronald Perelman also got their undergraduate degrees from Penn."

### **Analytics at Wharton's First-ever Roster of Funding Recipients**

Analytics at Wharton has selected eight projects as inaugural recipients of the newly created Data Science and Business Analytics Fund. The projects, chosen from 23 proposals submitted from around Wharton, will focus on a range of topics and include: predictive analytics to detect financial irregularities, machine learning as a tool for human resources and the creation of a new Women in Analytics conference. A formal call for submissions solicited proposals that demonstrate the need for financial support and infrastructure to enhance faculty research, student learning opportunities and engagement with industry and alumni.

"We are delighted by the quantity and caliber of the proposals submitted to Analytics at Wharton's Data Science and Business Analytics Fund," said Vice Dean of Analytics Eric T. Bradow, also professor of marketing, economics, education and statistics and the chair of Wharton's marketing department. "All of the proposals were intriguing in their own way and collectively reflect the incredible breadth of analytics knowledge being created at Wharton."

Thanks to an anonymous \$15 million gift, the Wharton School launched Analytics at Wharton in May 2019 to unite the School's trailblazing teaching, research and industry engagement initiatives that use big data to improve decision-making and generate actionable business insights. The Data Science and Business Analytics Fund's goal is to accelerate Wharton's innovations in applying sophisticated analytical tools to solve challenges and leverage the opportunities for business and society generated by the data and technology revolution. This gift is an instrumental investment in analytics, a core area of focus of Wharton's *More Than Ever* campaign.

"At Wharton, the keystone of our research has always been the creation of knowledge through the analysis of empirical data," said Michael R. Gibbons, Wharton School deputy dean and professor of finance. "The Data Science and Business Analytics Fund and the projects it

seeds will further elevate Wharton's dominant reputation in the area of predictive analytics."

The inaugural Data Science and Business Analytics Fund recipients are:

*Data Science for Finance* submitted by Michael R. Roberts, professor of finance

This funding supports the development of a new course: Data Science for Finance. The course will highlight how big data and data analytics shape the way finance is practiced, and will introduce students to data science for financial applications using the Python programming language and its ecosystem of packages (e.g., Dask, Matplotlib, Numpy, Numba, Pandas, SciPy, Scikit-Learn, StatsModels). To do so, students will investigate a variety of empirical questions from different areas within finance including: fintech, investment management, corporate finance, corporate governance, venture capital, private equity and entrepreneurial finance.

*Effective Text Processing in New Domains: Transfer Learning for Word Embeddings*, submitted by Hamsa Bastani, assistant professor of operations, information and decisions

While modern data analytics are incredibly effective at extracting valuable insight from text data (e.g., product reviews, nurses' notes, etc.), they require an enormous amount of data. This research seeks to increase the applicability of state-of-the-art text analytics algorithms by transferring word embeddings for large-scale data to domains with small- and medium-scale datasets.

*Environmental, Social and Governance Analytics*, submitted by Witold Henisz, professor of management; director, Wharton Political Risk Lab

This project focuses on analyzing the materiality of businesses' environmental, social and governance risks and opportunities and promotes faculty research, teaching and student learning in this area.

*People Analytics Video Project*, submitted by Laura Zarrow, executive director of Wharton

#### *People Analytics*

Wharton People Analytics will produce a slate of instructive, engaging, 5- to 15-minute videos from previous conferences and events that illuminate aspects of people analytics for students, industry professionals and alumni.

*The Promise and Peril of Algorithms in Human Resources*, submitted by Prasanna Tambe, associate professor of operations, information and decisions

This research project conducts an empirical exploration of the relative costs and benefits of using machine learning-based tools on video job application data during the hiring process.

*The Science of the Deal: Deep Reinforcement Learning for Optimal Bargaining on eBay*, submitted by Assistant Professor of Operations, Information and Decisions Etan A. Green

This research project trains an artificial intelligence to make optimal offers in negotiations on eBay.

*Wharton Forensic Analytics Lab*, submitted by Daniel Taylor, associate professor of accounting

This project will develop research and teaching expertise on the application of big data and predictive analytics to issues related to insider trading, financial irregularities and fraud. The Lab will aim to create new tools and technologies, academic research and teaching and educational materials.

*Women in Analytics and Data Science Conference*, submitted by Mary Purk, executive director of Wharton Customer Analytics and Linda Zhao, professor of statistics

This conference for Penn students aims to inspire and educate data scientists, regardless of gender, and support women in analytics and data science-related careers. Planned for February 14, 2020 on Penn's campus, this event is part of the larger Women in Data Science (WiDS) initiative originated at Stanford in November 2015 and includes a global conference, 150+ regional events, a datathon and numerous podcasts.

## The Annenberg Center Heats Up Philly's Jazz Scene with Messenger Legacy's Art Blakey Centennial and Philly Debut of Vibraphonist Joel Ross

The Annenberg Center heats up Philly's jazz scene in November, honoring the legacy of jazz great Art Blakey with Messenger Legacy, on *Saturday, November 16* at 8 p.m., and introducing jazz vibraphonist Joel Ross to Philadelphia in his headline debut, *Thursday, November 21* at 7:30 p.m. Visit [AnnenbergCenter.org](http://AnnenbergCenter.org) for tickets and information.

Art Blakey's Jazz Messengers was a classic jazz band for nearly 40 years, with a constant influx of only the best young musicians. Now, multiple generations of the group's elite alumni come together to commemorate the 100th anniversary of Mr. Blakey's birth and the legacy he created by providing a life-changing apprenticeship for over 200 jazz musicians. This top-notch ensemble is led by Ralph Peterson, the last drummer to play in the band alongside the drum maestro himself, along with undisputed authorities of the Blakey tradition, saxophonists Bill Pierce and Bobby Watson, trumpeter Brian Lynch, pianist Zaccai Curtis and bassist Essiet Essiet. This performance is co-presented with World Café Live.

Twice selected as a Thelonious Monk Institute National All-Star, Chicago-born and Brooklyn-based vibraphonist and composer Joel Ross has performed with jazz greats Herbie Hancock and Christian McBride. Cited as a "bright young vibraphonist on his own rocket-like trajectory" by *The New York Times*, this rising jazz star makes his Philadelphia debut, performing music from his latest album, *KingMaker*. Mr. Ross

is joined by his Good Vibes band, including alto saxophonist and Philadelphia native Immanuel Wilkins, pianist Jeremy Corren, bassist Kanoa Mendenhall and drummer Jeremy Dutton. Joel Ross will also perform a Student Discovery show for area school children at 10:30 a.m. on *Thursday, November 21*. Cameras are welcome.



Ralph Peterson



Joel Ross

### From Policy to Pocketbooks: "Wharton Business Daily" on SiriusXM Channel 132

The Wharton School of the University of Pennsylvania announced the launch of *Wharton Business Daily*, a new morning show produced at the Wharton School and airing on SiriusXM Channel 132. The show's debut on Monday, November 4 at 10 a.m. ET featured a scheduled roster of top guests including Wharton School Dean Geoff Garrett, former AOL CEO Steve Case and Head of External Affairs for Facebook Robert Traynham. *Wharton Business Daily* will air live on SiriusXM's business channel, Monday-Friday, 10 a.m.-noon ET, led by veteran radio host Dan Loney.

The show will focus on both topical news stories and emerging trends with an emphasis on how these issues impact both consumers and the business world. Each day, *Wharton Business Daily* will air interviews with Wharton professors and distinguished business leaders, all of whom will provide insights on government policy changes, market shifts, innovation, consumer trends and a range of other topics that draw from the School's unique and deep expertise across its 10 academic areas.

*Wharton Business Daily* Anchor Dan Loney brings more than 25 years of broadcast experience to the show, formerly serving stints with *The Wall Street Journal* Radio Network, ABC Radio, ESPN Radio and as a play-by-play baseball commentator. "What makes *Wharton Business Daily* so unique is the way we offer listeners both serious macro-economic topics like health-care and trade wars while also airing show segments that reflect everyday pocketbook issues," said Mr. Loney. "Our goal is to inform, surprise, entertain and educate, sometimes all at once."

Besides Dean Garrett, Mr. Loney will be joined by Wharton professors on *Wharton Business Daily*, including consumer identity expert Americus Reed (as part of a recurring "Marketing Stories of the Week" segment). Other upcoming scheduled guests include Co-Founder and Founding CEO of Netflix Marc Randolph and Wharton undergraduate alumnus and Papa John's Chairman of the Board Jeff Smith.

*Wharton Business Daily* is the latest in a series of programming collaborations between SiriusXM and the Wharton School, spanning half a decade. Show segments will originate from both of Wharton's campuses in Philadelphia and San Francisco. Non-subscribers can access free listening trials at [www.siriusxm.com](http://www.siriusxm.com). To stay informed, follow the show on Twitter @WhartonBizDaily

### Conformations: A Solo Exhibit by Laura Splan

On *Tuesday, November 19*, there will be an Artist Talk by Laura Splan at the Quorum, 3675 Market St. (1st floor), 5-6 p.m. followed by a closing reception, 6-7:30 p.m.

BioArt Resident Laura Splan spent three months in Integral Molecular's lab at the University City Science Center. The culmination of that work, *Conformations*, is now featured at the Esther Klein Gallery through *Saturday, November 23*.

Ms. Splan's work mines the materiality of science to reveal poetic subjectivities. She used materials found in the lab and recreated conformational change in



*Boundary, llama fiber, orphaned keys and vinyl wall text from Conformations. Boundary asks viewers to "move gently" through a doorway veiled in yarn spun from laboratory llamas.*

Photo by Jaime Alvarez

cells. Her interdisciplinary projects destabilize notions of the presence and absence of bodies evoking the mutability of categories that delineate their status. Her work compels an intimate engagement with detail, calling into question how things are made and what they are made of.

During her residency, Ms. Splan created numerous photographs, sound recordings and videos. At the end of her residency, she received 200 pounds of discarded wool from animals who are used for antibody production for human drugs. *Conformations* situates objects made with this hand-spun fiber among sculptures, images and time-based works exploring the metaphors and meta-narratives of cellular biology.

### Planning an Event? Email Almanac

Mounting an exhibit? Orchestrating a concert? Planning a play? Showing a film? Let *Almanac* know so it can be included in the monthly AT PENN calendar!

*Almanac*'s monthly AT PENN calendar is the most inclusive calendar of Penn events on campus. With a readership in print and online, a listing in AT PENN increases visibility and attendance.

Email [almanac@upenn.edu](mailto:almanac@upenn.edu) with your event details, including the event date, time, topic, speaker information and sponsors. For info, visit <https://almanac.upenn.edu/deadlines-for-submitting-at-penn-information>

**Penn's Way 2020  
Raffle Prize Drawings\***

**Week Five Winners**

Penn Museum—Four gift passes to Penn Museum—(\$60 value): *Epiphany Collins*, HUP  
Morris Arboretum—Dual membership (\$95 value): *Christopher Huot*, Pennsylvania Hospital  
Philadelphia Eagles—Alshon Jeffery Autographed Photo (\$80 value): *John McKenna*, Public Safety

Digital Color Graphics—Mission Taqueria gift card (\$100 value): *Frank Di Maria*, ISC

13th Street Kitchens—Gift card (\$100 value): *Jennifer Gutowski*, Pennsylvania Hospital  
Blue Mercury—Make-up artist private master class, for up to six (\$100 value): *Tiffany Moore*, HUP

Fresh Grocer—Gift Card (\$100 value): *Ene Belleh*, Pennsylvania Hospital

**Grand Prize (December 2 Drawing)**

2020 Phillies Game in the Penn Medicine Club Box for You & 15 Friends!

To participate in the Penn's Way Campaign, visit <https://pennsway.upenn.edu/>

Entries must be received by 5 p.m. on the prior Friday for inclusion in a given week's drawing.

\* Note: subject to update based on receipt of promised prizes/late additions.

**Penn Ice Rink Celebrates Reopening**



*Penn Ice Rink Ribbon Slashing Celebration: Members of the Penn Men's and Women's Ice Hockey Clubs and Alumni, along with alumni from Snider Hockey and the Philadelphia Flyers joined special guest Gritty for the ribbon-slashing ceremony.*

The newly refurbished Penn Ice Rink recently celebrated its reopening after a five-month, \$8.2 million project that was supported by the Ed Snider Youth Hockey Foundation, the National Hockey League, the Philadelphia Flyers Alumni Association and the University of Pennsylvania. Numerous upgrades and renovations have enhanced the overall skating experience, extended the rink's hours for year-round operations, provided long-term operational sustainability and expanded the delivery of Snider Hockey's nationally acclaimed youth enrichment services.

Visit [www.upenn.edu/icerink](http://www.upenn.edu/icerink) for more information.

**Update**

**November AT PENN**

**EXHIBITS**

**Upcoming**

**12** *Rupture*; works by Iranian American artist and cardiologist Nazanin Moghbeli; 2nd floor, Quorum, 3675 Market St.; artist reception: *November 12, 6-7:30 p.m. Through January 24, 2020.*

**TALKS**

**12** *Panel Discussion: The Intersection of Art, Medicine and Science*; artists Nazanin Moghbeli, Bennett Lorber and Deirdre Murphy; 5 p.m.; 2nd floor, Quorum, 3675 Market St. (EKG).

**AT PENN Deadlines**

The deadline for the December AT PENN calendar is *today*. The deadline for the weekly Update is the Monday prior to the week of the issue's publication.

*Almanac*

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The University of Pennsylvania's journal of record, opinion and news is published Tuesdays during the academic year, and as needed during summer and holiday breaks. Its electronic editions on the Internet (accessible through the Penn website) include HTML, Acrobat and mobile versions of the print edition, and interim information may be posted in electronic-only form. Guidelines for readers and contributors are available on request and online.

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The University of Pennsylvania values diversity and seeks talented students, faculty and staff from diverse backgrounds. The University of Pennsylvania does not discriminate on the basis of race, color, sex, sexual orientation, gender identity, religion, creed, national or ethnic origin, citizenship status, age, disability, veteran status or any other legally protected class status in the administration of its admissions, financial aid, educational or athletic programs, or other University-administered programs or in its employment practices. Questions or complaints regarding this policy should be directed to Sam Starks, Executive Director of the Office of Affirmative Action and Equal Opportunity Programs, 421 Franklin Building, 3451 Walnut Street, Philadelphia, PA 19104-6205; or (215) 898-6993 (Voice).



**Calling Faculty and Staff Artists to Exhibit Work at the Burrison Gallery**

The Burrison Gallery invites any Penn faculty or staff member to apply for a show of their art in the Gallery. Selected artists will be given a four- to five-week, one-person show at the gallery with the option of an opening reception.

A typical Burrison show consists of no more than thirty works united by a common theme and medium. The art must be two dimensional (paintings, prints, photography, drawings) and must be framed and wired for hanging. All art must be hung on cables that hang from a wall mounted track. The hanging hardware allows for horizontal and vertical positioning. No art may be wall mounted. The artist will be responsible for the installation of the show, with input from the Gallery.

To apply for a show, please complete the application form found on the web at <https://bit.ly/2WfbXx4> and send the completed application together with ten digital images of art that will be included in the show to [burrisongallery@gmail.com](mailto:burrisongallery@gmail.com) Send questions or request for further information to: Jerry Porter, Chair, Burrison Gallery Advisory Committee at the above email address.

**The University of Pennsylvania Police Department  
Community Crime Report**

**About the Crime Report: Below are the Crimes Against Persons or Crimes Against Society** from the campus report for **October 28-November 3, 2019**. Also reported were 19 crimes against property (5 bike thefts, 3 retail thefts, 3 thefts other, 2 thefts from building, 1 auto theft, 1 theft from vehicle, 1 DUI and 3 other offenses) with 6 arrests. Full reports are available at: <https://almanac.upenn.edu/sections/crimes> Prior weeks' reports are also online. —Eds.

This summary is prepared by the Division of Public Safety and includes all criminal incidents reported and made known to the University Police Department between the dates of **October 28-November 3, 2019**. The University Police actively patrol from Market St to Baltimore Avenue and from the Schuylkill River to 43rd St in conjunction with the Philadelphia Police. In this effort to provide you with a thorough and accurate report on public safety concerns, we hope that your increased awareness will lessen the opportunity for crime. For any concerns or suggestions regarding this report, please call the Division of Public Safety at (215) 898-4482.

10/29/19	5:30 PM	4000 Pine St	Complainant harassed by known person
10/29/19	10:40 PM	3700 Spruce St	Complainant harassed
11/02/19	11:40 AM	3300 Market St	Complainant threatened with a knife

**18th District**

**Below are the Crimes Against Persons from the 18th District:** 3 incidents (1 domestic assault and 2 aggravated assaults) were reported for **October 28-November 3, 2019** by the 18th District covering the Schuylkill River to 49th Street & Market Street to Woodland Avenue.

10/28/19	4:11 PM	47th & Locust St	Domestic assault
10/29/19	6:33 PM	4500 Ludlow St	Aggravated assault
11/03/19	12:13 AM	3300 Market St	Aggravated assault

## Principles of Responsible Conduct

### New Online Training Modules and Reminder to the Penn Community

Today, we are announcing a new initiative in support of Penn's mission and to ensure the continued excellence of the University and its reputation. The Office of Institutional Compliance (OIC) within the Office of Audit, Compliance and Privacy (OACP), has developed Principles of Responsible Conduct online training videos available in Knowledge Link to all employees. These online trainings will provide further awareness of the Principles of Responsible Conduct and expectations for employee behavior. The trainings consist of three short videos—a seven-minute video covering all ten Principles and Confidential Reporting Line information; and two three-minute videos covering Conflicts of Interest and Responsible Stewardship and Use of Penn Property, Funds and Technology. These videos may be accessed through the Knowledge Link catalog or by using the link below:

- Principles of Responsible Conduct Training Video: <http://www.upenn.edu/oacp/compliance/compliance-trainings.html>
- Conflicts of Interest Training Video: <http://www.upenn.edu/oacp/compliance/compliance-trainings.html>
- Stewardship Training Video: <http://www.upenn.edu/oacp/compliance/compliance-trainings.html>

To remind the Penn community of the basic expectations that should guide our work at Penn, the Principles of Responsible Conduct are published annually and are found below. Everyone at Penn is expected to be familiar with and adhere to the Principles of Responsible Conduct, which can be found on the Office of Audit, Compliance and Privacy website at: [http://www.upenn.edu/audit/oacp\\_principles.htm](http://www.upenn.edu/audit/oacp_principles.htm)

The mission of the University of Pennsylvania and its Health System is to offer a world class education to our students, train future leaders, expand and advance research and knowledge, serve our community and society both at home and abroad, and provide the most expert and outstanding health care for our patients. In pursuing this mission, and to ensure the continued excellence of the University and its reputation, all members of the University community need to understand and uphold both legal requirements and the highest ethical standards.

In the following Principles of Responsible Conduct, we articulate the basic expectations that should guide each of us in our work at Penn. These Principles are embedded within many policies and practices identified throughout University and Health System handbooks, manuals, websites and other materials. We have endeavored to distill these policies, rules, and guidelines for easy review and access. The Principles are not intended to be a comprehensive catalogue of all applicable rules and policies of the University and the Health System. Rather, these Principles set forth the underlying expectations that we have for the conduct of University and Health System activities with the highest standards of integrity and ethics. Useful references to relevant policies and resources are included.

We urge you to read these Principles closely and familiarize yourself with both the expectations and the resources provided.

—Amy Gutmann, President

—Wendell Pritchett, Provost

—Craig Carnaroli, Executive Vice President

—J. Larry Jameson, Executive Vice President of the University for the Health System and Dean of the Perelman School of Medicine

*Penn has many policies that govern the behavior of all Penn faculty, administration and staff. The ethical expectations contained in these policies are highlighted in the text of the ten principles that follow, and supporting policies, statements and guidelines are available for each at the corresponding web link.*

### Principles of Responsible Conduct

**1. Ethical and Responsible Conduct.** Penn's faculty, administration and staff should conduct themselves ethically, with the highest integrity, in compliance with all applicable laws, regulations, and University policies, in all aspects of their work. They should be fair and principled in University and Health System business transactions and other related professional activities, acting in good faith when dealing with both internal constituents and external entities. Their conduct should always reflect their positions of trust and loyalty with respect to the University, the Health System, and members of these communities.

[http://www.upenn.edu/audit/oacp\\_principles1.htm](http://www.upenn.edu/audit/oacp_principles1.htm)

**2. Respect for Others in the Workplace.** Penn recognizes that people are the most important resource for achieving eminence in accomplishing our mission in the areas of teaching, research, community service and patient care. Penn is an institution that values academic freedom, diversity and respect for one another. Penn is committed to the principle of non-discrimination and does not tolerate conduct that constitutes harassment on any basis, including sexual, racial, ethnic, religious or gender harassment.

[http://www.upenn.edu/audit/oacp\\_principles2.htm](http://www.upenn.edu/audit/oacp_principles2.htm)

**3. Avoidance of Conflict of Interest.** As more fully stated in Penn's conflict of interest policies, Penn's faculty, administration and staff should avoid conflicts of interest in work at Penn. As a non-profit institution, it is imperative, for both legal and ethical reasons, that University and Health System employees do not improperly benefit from their positions of trust at Penn. Financial conflicts must be appropriately disclosed in accordance with conflict of interest and conflict of commitment policies, so that they can be reviewed, and as appropriate, managed or eliminated. Faculty, administrators and staff are responsible for identifying potential conflicts and seeking appropriate guidance.

[http://www.upenn.edu/audit/oacp\\_principles3.htm](http://www.upenn.edu/audit/oacp_principles3.htm)

**4. Responsible Conduct in Research.** As members of a complex research university, Penn faculty, administrators and staff have significant responsibility to ensure that research is conducted with the highest integrity, and in compliance with federal, state and local laws and regulations, as well as University and Health System policy.

[http://www.upenn.edu/audit/oacp\\_principles4.htm](http://www.upenn.edu/audit/oacp_principles4.htm)

**5. Responsible Stewardship and Use of Penn Property, Funds, and Technology.** Penn faculty, administration and staff are expected to ensure that Penn property, funds and technology are used appropriately to benefit the institution, consistent with all legal requirements as well as University and Health System policies.

[http://www.upenn.edu/audit/oacp\\_principles5.htm](http://www.upenn.edu/audit/oacp_principles5.htm)

**6. Environmental Health and Safety.** Penn is committed to the protection of the health and safety of the University community and the cre-

ation of a safe working environment. To accomplish this end, Penn provides training in health and safety regulation and policy and Penn faculty, administration and staff are expected to comply with sound practices and legal requirements.

[http://www.upenn.edu/audit/oacp\\_principles6.htm](http://www.upenn.edu/audit/oacp_principles6.htm)

**7. Respect for Privacy and Confidentiality.** In their various roles and positions at Penn, faculty, administration and staff become aware of confidential information of many different types. Such information may relate to students, employees, faculty, staff, alumni, donors, research sponsors, licensing partners, patients and others. Penn faculty, administration and staff are expected to inform themselves about applicable legal, contractual and policy obligations to maintain the confidentiality of such information, so as to protect it from improper disclosure, and to protect the privacy interests of members of our community.

[http://www.upenn.edu/audit/oacp\\_principles7.htm](http://www.upenn.edu/audit/oacp_principles7.htm)

**8. Appropriate Conduct with Respect to Gifts, Travel and Entertainment.** Penn faculty, administration and staff are expected to conduct themselves so as to ensure that their positions are not misused for private gain, with respect to acceptance of gifts and the undertaking of University-related travel and entertainment.

[http://www.upenn.edu/audit/oacp\\_principles8.htm](http://www.upenn.edu/audit/oacp_principles8.htm)

**9. Appropriate Use of the University Name and Logos.** Penn regulates the use of its name, its shield and related trademarks and logos in order to protect the University's reputation, and to ensure that their use is related to the University's educational, research, community service and patient care missions. Faculty, administration and staff are expected to protect the University name and logos from improper use.

[http://www.upenn.edu/audit/oacp\\_principles9.htm](http://www.upenn.edu/audit/oacp_principles9.htm)

**10. Responsible Reporting of Suspected Violations and Institutional Response.** Penn faculty, administration and staff are expected to report suspected material violations of University and Health System policies, as well as violations of applicable laws and regulations, including laws requiring the reporting of sexual abuse involving minors, to appropriate offices, as set forth in the various policies. Penn faculty, administration and staff may be subject to discipline in accordance with the policies.

[http://www.upenn.edu/audit/oacp\\_principles10.htm](http://www.upenn.edu/audit/oacp_principles10.htm)

Principles of Responsible Conduct training videos for Penn employees are available in Knowledge Link. In addition, printed versions of the Principles of Responsible Conduct are available for Penn employees. If you are interested in obtaining the brochure or have questions about accessing the online training, please contact Linda E. Yoder, deputy institutional compliance officer, at (215) 573-3347 or at [elyoder@upenn.edu](mailto:elyoder@upenn.edu)