FROM THE PRESIDENT

Welcome Back

Moving Forward Together

Happy New Year! I hope all of you enjoyed a rejuvenating holiday with friends and loved ones. I wish each of us the best of luck with keeping our New Year’s resolutions.

We’re all resolved to keep our great University moving forward under the Penn Compact. I’ve been thrilled to see our great faculty, our amazing students, our fabulous alumni, and our multi-talented staff all unifying behind our overarching vision of propelling Penn from excellence to eminence in all our core endeavors, and also contributing to the evolution of the Compact as a catalyst for bold and innovative ideas for moving the University forward.

Achieving eminence will depend in large part on accomplishing two objectives that are inextricably connected: making Penn more accessible and affordable to talented undergraduate and graduate students from all backgrounds, and empowering preeminent faculty across all 12 schools.

We have already taken two important steps to propel Penn from excellence to eminence in graduate education. First, we have raised the minimum stipend for Ph.D. students by 11 percent to $17,500 starting in the 2005-06 academic year. This increase places Penn among the national leaders in supporting graduate education, and we will take the necessary steps to stay there.

Second, thanks to generous individual gifts from a number of our Trustees, beginning this fall we will offer summer stipends of approximately $3,900 each to 15 entering Ph.D. students in the humanities and social sciences. The stipends, which will be named fellowship prizes, will boost our capacity to educate the next generation of the world’s top scholars in their chosen fields.

To achieve true eminence in teaching and research, we must focus on retaining and recruiting the very best faculty. One important way to strengthen our superb faculty for the future is to create more endowed faculty chairs that build specifically on Penn’s comparative advantage as the Ivy League University that prizes—and supports—integrating knowledge across disciplines and engaging with local and global communities.

Creating many more endowed faculty chairs while growing our endowment for financial aid will be among my highest priorities in our upcoming capital campaign.

The Penn academic community and I are also committed to moving forward together at great speed in charting new ground in local and global engagement and in integrating research and teaching across schools and disciplines in order to address the greatest intellectual challenges of our times.

For example, only last fall Penn became one of only six universities nationwide to win a major National Science Foundation grant to create a new Nanoscale Science and Engineering Center. This could not have happened without close collaboration among Penn Engineering, Penn Medicine, and our School of Arts and Sciences. Penn pulled together a team of superb faculty in chemistry, condensed-matter physics, molecular biology, medicine, and, of course, in materials science and other fields of engineering. As a result, our new Nano/Bio Interface Center is now poised to become a magnet for top faculty throughout the world who want to drive the nanotech revolution, and for their superb students who will carry the nanotech revolution still further into the future.

In other areas, a major highlight of the spring will be an international symposium devoted to understanding the complex socioeconomic and political dimensions of women’s health and to identifying strategies that will promote safe motherhood in an unsafe world. Arising from the collaboration between the Schools of Nursing and Medicine, the Penn Summit on Global Issues in Women’s Health has already sparked great interest among a large number of faculty who are contributing their own research to the enterprise. They are also building new relationships with one another that are potentially groundbreaking in their implications for innovative research, teaching, and public service.

And although it’s less than a year old, the Penn Institute for Urban Research is well on its way toward shaping the future of urban studies and practice. The Institute recently created a Masters of Urban Spatial Analytics (MUSA) degree program, which will train graduate students in GIS (Geographic Information Systems) and social spatial statistics while giving them a foundation in urban issues across multiple academic fields.

The Penn Summit on Global Women’s Health, the Penn Nano/Bio Interface Center, and the Penn Institute for Urban Research are achieving an integration of knowledge that will lead directly to global engagement by shaping future institutional initiatives and contemporary professional practices. They are but three of the many ways in which our faculty, students, and staff are coming together to generate new knowledge and discoveries that connect Penn to communities around the world as partners in transformation.

I know of no other university where such collaborations take place so happily and successfully. And I see nothing stopping us from riding the wave of the Penn Compact to become a 21st century university that is truly without peer.

I must close this message on a somber note. I join with all of you in grieving the tragic loss of more than 150,000 lives caused by December 26 tsunami in the Indian Ocean. And I shudder at the scale of suffering of so many of our fellow human beings who survived the tsunami yet remain at grave risk.

This tragedy reminds us that we live in an interconnected world, and that we have a responsibility to offer whatever care and assistance we can. As a University community, we will provide appropriate services to students, faculty, and staff whose lives have been directly affected by the disaster. And I encourage each of you to assist in the ongoing relief effort underway by giving to the agency of your choice.

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Chair’s Report: Dr. Donaldson-Evans, sitting in for Dr. Mooney, provided the following follow-up on the issue of financial aid that was discussed previously in SEC: Upon checking with the financial aid office, it was determined that, contrary to what was previously presented in SEC, financial aid is centrally administered, not administered in individual schools. He also reported that although aid was not available for foreign students in the past, some foreign students now do receive aid. This is important because they are not eligible for federal aid.

Dr. Donaldson-Evans provided an update on the reforms that are planned for SEC and other committees. The Senate Chairs are presently talking with current and past chairs to create basic charges for all committees.

Past Chair’s Report on Academic Planning and Budget Committee and Capital Council: Dr. Donaldson-Evans reported that he recently learned the Pennsylvania Visitors Center was not promoting Annenberg Center events because they were seen as competition to the Kimmel Center. Dr. Donaldson-Evans reported that there was discussion of two new master programs, one in science education, and one in applied positive psychology. Also, he reported that Dr. JoAnn McCarthy was appointed as Assistant Provost for International Affairs.

Omar H. Blaik, Senior Vice President of Facilities and Real Estate Services: Omar H. Blaik, Senior Vice President of Facilities and Real Estate Services spoke to SEC. He described the plans for campus growth over the next few decades. He broke his discussions into three land areas. The first two areas fall within the recently acquired post office lands. The second portion, south of Walnut, is the area that is expected to be used for growth of the University of Pennsylvania. It includes empty lands that in the near term will be used for athletic fields. Eventually, other facilities can be moved to this land after consideration of the impact of moving it out of the core. To the Southeast, a third area for growth is the piece of land previously owned by the city, where the convention center stands. They have hired an architect, Raphael Vignon to design the new buildings on that site, which will include a Cancer Center and Ambulatory Care Center. In the future, there is a potential plan to tear down Penn Tower and make a new walkway that will pass the museum to the hospital.

Mr. Blaik also discussed the University of Pennsylvania’s involvement in the Schuykill River Development Authority. This organization represents the interests of all those who own land on either side of the river.

Privacy Liaison: Dr. Donaldson-Evans informed SEC that the tri-chairs were asked to designate a faculty member to serve as a privacy liaison for the Faculty Senate. Anita Allen-Castellitto (Law) has agreed to serve.

Discussion of Penn Compact: Chair-Elect Neville Strumpf opened this discussion by reminding SEC of the three principles of Penn Compact. Discussion of the Penn Compact led to further discussion on how to move forward on various new initiatives at Penn. There was concern about the issue of admitting students from the “same old pool.” The suggestion was made to put together a committee to look at “out-of-the-box thinking” on how to bring in new students, from different socioeconomic backgrounds to Penn. People on the committee should be those who understand education and sociology.

There was a discussion about the fact that integration of knowledge across disciplines and schools will require an understanding of how finances are laid out. It is possible that financial arrangements may inhibit joint teaching. Dr. Donaldson-Evans expressed his opinion that Dr. Gutmann is aware of these problems, and yet determined to move forward.

There was a universal feeling that benchmarks were needed to determine whether progress was being made in all areas addressed by the Penn Compact. It was also felt that before moving forward, there needed to be a better understanding of what would need to be given up to attain the goals, and what opportunities might be bypassed, in other words what would be the trade-offs. The members of SEC felt unsure of how Dr. Gutmann wanted faculty to partner with her.

Discussion of Faculty-Sponsored Symposium on Integrating Knowledge Across Disciplines: Dr. Neville Strumpf discussed the planning of a faculty-sponsored symposium on integrating knowledge across disciplines and schools. She reported that the President and Provost were enthusiastic about this idea, and felt that they could find money to support the program. She asked for volunteers for a small subcommittee to discuss this.

Issues for Consultation with President and Provost: SEC asked that the Senate Chairs discuss the incident where a Penn student was allegedly accosted by campus police and placed on the ground possibly using excessive force. The student has alleged that he did not have an opportunity to identify himself.

New Business: There was no new business.

Corrections
In the December 7 issue, in Honors & Other Things, Dr. Roselyn Eisenberg, a Fellow of AAAS, should have been identified as primarily affiliated with the School of Veterinary Medicine. In that issue's coverage of the November Council meeting, Carlos Rivera-Anaya, who gave a presentation for USCAR, should have been identified as chair of the United Minorities Council. In the December 14 issue, Dr. Thomas Sugrue, the Kahn Term Professor, should have been described as the vice chair of the Philadelphia Historical Commission, not the former vice chair. We regret the errors. — Eds.
Assistant Provost for International Affairs: JoAnn McCarthy

Dr. JoAnn McCarthy has been named Assistant Provost for International Affairs, Interim Provost Peter Conn announced.

Dr. McCarthy will start her new position March 1, coming here from the University of South Florida where she has been Dean of International Affairs since 1999. In her role as Assistant Provost, she will work with the President, Provost and Deans to develop and implement the University’s global strategy, enhancing our distinctive strengths as an international institution. She will oversee Penn’s initiatives for increasing visibility in the international arena and provide leadership to move our international agenda forward.

This position, originally Executive Director of the Office of International Programs, has undergone some transformation over the past several months. “The increased responsibility Dr. McCarthy will assume reflects the increased importance this administration is placing upon global initiatives. I expect that in addition to running OIP, Dr. McCarthy will take responsibility for articulating Penn’s international character, both internally and externally, and will encourage and promote international activities throughout the University community,” Dr. Conn said.

In her position at USF, Dr. McCarthy has overseen the Division of International Affairs, which encompasses Latin American and the Caribbean Studies, the Center for Africa and the Diaspora, study abroad programs, services to over 2,200 international students and scholars, international recruitment and admissions, international linkages, international distance learning projects, and international faculty and curriculum development. Previously, Dr. McCarthy served as Executive Director of International Programs at Old Dominion University in Virginia and at Illinois State University.

She holds a Ph.D. from Florida State University in foreign language education. Dr. McCarthy has served as President of the Association of International Education Administrators (AIEA) and has also served on the editorial board of the International Education Forum. She was appointed to the Board of Directors of the Council on International Educational Exchange and has been an active member of NAFSA: Association of International Educators for more than 20 years. She has represented AIEA to the Coalition for International Education and the Alliance for International and Intercultural Exchange. She is a member of the American Council on Education’s International Commission, the Group of Advisors of the National Security Education Program (U.S. Department of Defense), and the national advisory board of the U.S. Department of Education’s Title VI Program for Foreign Language and International Studies. She has also organized and served as president of statewide consortia for international education in Illinois and Virginia.

Deaths

Amy Bogdanoff, Veterinary Medicine

Ms. Amy Marilyn Bogdanoff, special events coordinator at the School of Veterinary Medicine, died on December 9, while recovering from surgery, at the age of 32.

Ms. Bogdanoff received her B.A. in communication in 1993 and an M.A. in public relations in 2003 from Rowan University. She was in the process of earning the Certified Meeting Professional credential. Ms. Bogdanoff joined the Veterinary School in 1999 as an administrative assistant in the Section of Medical Genetics. In 2001 she joined the School’s Office of Development, Alumni Relations, and Communications as the Special Events Coordinator. She managed the Penn Annual Conference for the School, one of the largest conferences for veterinarians and veterinary technicians in the eastern U.S. She also planned the dedication and renaming ceremony for the Matthew J. Ryan Veterinary Hospital and the ground-breaking ceremony for the School’s teaching and research building.

Ms. Bogdanoff served the University community as a former secretary of the Weekly-Paid Professional Staff Assembly and as a volunteer coordinator for Penn’s Way.

Ms. Bogdanoff is survived by her father, Bruce Bogdanoff and mother, Sue Levine; a sister, Ellie Levine; and a brother, Aron Bogdanoff. Memorial donations may be made to Beth Israel Congregation, High and Warner Sts., Woodbury, NJ 08096, or the American Cancer Society, 1851 Old Cuthebert Blvd., Cherry Hill, NJ 08034.

Samuel Maitin, Artist

Mr. Samuel (Sam) C. Maitin (C ’51), internationally renowned artist and former faculty member, died of lung cancer on December 23, at the age of 76. Mr. Maitin was a native of Philadelphia and earned his BFA in art history from Pennsylvania in 1951. He taught at the Annenberg School for Communication (1965-1972) where he was the head of the Visual Graphics Communication Laboratory. He was a world-renowned artist working in watercolor, gouache, and crayon. His processes included drawing, collage, painting, hand-blown glass, sculpture and graphic art prints. His work has been exhibited in such places as the Library of Congress, The National Gallery of Art, Museum of Modern Art in New York, the Philadelphia Museum of Art, Tate Gallery in London, and the Klingerspor Museum in Frankfurt. He was best known for his signature art—signature murals and three-dimensional constructs that enhance communal spaces. On campus, he has done art for the Christian Association, the Annenberg School for Communication, the Wharton School, the Dental School, and the School of Arts and Sciences. He also designed an outdoor polychromed metal sculpture and 50-foot long 3-dimensional mural for CHOP. An exhibition of his work, Sam Maitin: A Life in Art, had already been planned by the Arthur Ross Gallery, and will be there and at the Steinhardt Hall Gallery at Hillel, with the opening set for February 9 (see page 12).

Awards Mr. Maitin received included a Guggenheim Fellowship, the Owens Prize on the 100th anniversary of the Fellowship of the Academy of Fine Arts, the Percy M. Owens Award and the Art Matters Award of Excellence. In 2001, he was the main speaker for the graduation at the Annenberg School; the school awarded him the Merrill Panit Citizenship Award for his contributions to the art world and to Penn.

He has been featured in a cover article of Art Matters, and his work was in several issues of the Pennsylvania Gazette.

He is survived by his wife, Lilyan; son, Izak, GSAS ’02; daughter, Ani, Nu ’96, GNSU ’99; brother David; and three grandchildren. A memorial service will be held later this month.

Father Pfeffer, Newman Center

Father Charles (Chuck) Pfeffer, chaplain at the Penn Newman Center and a parochial vicar at St. Agatha-St. James parish, died on December 21, at the age of 53.

Fr. Pfeffer was a native of Philadelphia, and received a bachelors in philosophy in 1973 and a masters in divinity in 1977, from St. Charles Seminary. He was ordained into the priesthood in May of 1977. He completed graduate studies in Educational and Group Dynamics from Temple University. After he was ordained, Fr. Pfeffer served as assistant pastor at St. Anthony Church in Ambler and at St. Kevin Church in Springfield, Delaware County. In 1984 he was named Archdiocesan chaplain of the Catholic Committee on Scouting. In 1985 he was appointed assistant director of the Department of Youth Activities for the Archdiocese of Philadelphia, and director of the Catholic Youth Organization and director/chaplain of Camp Neumann.

In 1988, Fr. Pfeffer was appointed Director of the Department of Youth Activities, a position he held for eight years. In 1996 Fr. Pfeffer was named Chaplain/Director of the Newman Center at Penn and parochial vicar of St. Agatha-St. James Church. He was a member of the Knights of Columbus and was instrumental in forming a local college council of the Knights, and was a charter member and chaplain of the Albertus Magnus-University City Council.

He is survived by his sister, Marian Fletcher and his brother, Jack Pfeffer.

Memorial Service Reminder

On Thursday, January 13, a memorial service will be held for Tom McMahon, of Wharton publications, at 3:30 p.m., 8th floor, Jon M. Huntsman Hall (Almanac December 14, 2004).

To Report A Death

Almanac appreciates being informed of the deaths of current and former faculty and staff members, students, and other members of the University community.
Honors & Other Things

Mood Disorders Award: Dwight Evans
Dr. Dwight L. Evans, the Ruth Meltzer Professor and chairman of the department of psychiatry, has received the 2004 Award for Research in Mood Disorders from the American College of Psychiatrists. The award honors an individual or an individual whose group has made major contributions to the understanding and treatment of mood disorders. Dr. Evans commented, “Depression has become the number one cause of disability within Western Europe and North America. In the U.S., approximately 20 million people suffer from serious depression and close to one million people attempt suicide each year.” The American College of Psychiatrists is a non-profit honorary association of psychiatrists who have been recognized for their contributions to the profession.

Exemplary Leadership: Peter Conn
Interim Provost Peter Conn has been awarded the Exemplary Leadership Award by the Greater Philadelphia Region Louis Stokes Alliance for Minority Participation. This award is given “for promoting innovative strategies and initiatives to increase the success of underrepresented students in science, technology, engineering and mathematics education.”

The Louis Stokes Alliance for Minority Participation, a national initiative sponsored by the National Science Foundation, was named for Senator Louis Stokes in recognition of his contributions toward improving the lives of all Americans and ensuring the full participation of members of minority groups.

Warren Lewis Award: Warren Seider
Dr. Warren D. Seider, professor of chemical and biomolecular engineering, has received the Warren K. Lewis Award for Contributions to Chemical Engineering Education from the American Institute of Chemical Engineers (AIChE). The award was presented jointly to Dr. Seider and Dr. J. D. Seader, professor emeritus of chemical engineering at the University of Utah, for “careers dedicated to extraordinary educational and research contributions in process systems engineering, especially recognizing their textbooks on process and product design.”

Rowing Sculpture: Elizabeth Doering
Ms. Elizabeth Hoak Doering, lecturer in fine arts, teaching figure sculpture and 3-D design in the School of Design, has been selected to create a sculpture for the front of the Penn Boathouse on Kelly Drive. The sculpture will be a tribute to the oarsman and coach Joe Burk, Penn’s rowing coach, 1958–1971. The sculpture will be a 4 foot by 5 foot bas relief portrait and will be cast in bronze. A spring dedication is planned.

Modern Language: Thadious Davis
Dr. Thadious M. Davis, professor of English, received honorable mention for her work, Games of Property: Law, Race, Gender and Faulkner’s Go Down, Moses (Duke University Press), from the Modern Language Association. The award was given for distinguished scholarship in English, comparative literature and foreign languages.

Woman of Distinction: Judith Coche
Dr. Judith Coche, clinical associate professor of psychiatry in psychiatry at the School of Medicine, has been named a 2004 Woman of Distinction by the Philadelphia Business Journal and the National Association of Women Business Owners. She was one of 25 women to be recognized with this honor. She is founder of the Coche Center—in the first women-owned non-medical mental health practices on the East Coast. “I started the Coche Center in 1978, and with my first husband, we built a practice in Rittenhouse Square with a branch to serve Cape May County. We wanted to give back to the communities that had supported our careers.”

13 Elected to Pediatric Societies
Thirteen faculty members of the department of pediatrics who practice at CHOP have been selected for membership in two pediatric societies. Elected into the American Pediatric Society are: Dr. Louis Bell, professor of pediatrics; Dr. Rashmin Savini, associate professor of pediatrics, division of neonatology; Dr. Rebecca Simons, assistant professor of pediatrics, division of neonatology; and Dr. Virginia Stallings, professor of pediatrics.

New members of the Society for Pediatric Research are: Dr. Eavine Alessandri, assistant professor of pediatrics; Dr. Anna (Christina) Bergqvist, assistant professor of neurology; Dr. Susan Coffin, assistant professor of pediatrics; Dr. Elizabeth Goldmuntz, associate professor of pediatrics; Dr. Stephen Grupp, assistant professor of pediatrics; Dr. Ian Kranz, assistant professor of pediatrics; Dr. Scott Lorch, assistant professor of pediatrics; Dr. John Maris, associate professor of pediatrics and Dr. Kathryn Maschoff, clinical associate attending neonatologist.

Barbara Bates Center for the Study of the History of Nursing
The Center for the Study of the History of Nursing has been renamed for the late author and School of Nursing faculty member Dr. Barbara Bates (Almanac January 14, 2003). Its new name is the Barbara Bates Center for the Study of the History of Nursing.

“Barbara Bates was a friend, teacher, and physician extraordinary,” noted Professor Emerita Joan Lynaugh, a co-founder of the Center. William H. Helfand, chair of The Barbara Bates Center Advisory Board remembered Dr. Bates as “a true kind of nursing,” remarking, “Neither of us trained as nurses, but we shared an appreciation of the impact nurses have had on health and wellness in society across time.” The Center has grown to include 1,500 books, 1,500 cubic feet of archival materials, and over 5,000 photos. “We are giving voice to nurses and to the discipline of nursing. We cannot think of the present and forge forward into the future without being mindful of nursing’s history,” said Nursing Dean, Dr. Afaf Meleis.

At the dedication, the Robert D. Eilers Professor of Medicine and Health Care Management and Economics, Arthur Asbury Outstanding Faculty Mentor Award.

School of Medicine’s Awards of Excellence
The following are recipients of the School of Medicine’s 2004 Awards of Excellence.

Dr. Gregory G. Ginsberg, associate professor of medicine, and director of endoscopic services, the Luigi Mastroianni, Jr., Clinical Innovator Award.

Dr. R. Michael Buckley, Jr., chair of the Department of Medicine and Chief Medical Officer at Pennsylvania Hospital, the Alfred Stengel Award Health System Champion Award.

Dr. Larry R. Kaiser, the John Rhea Barton Professor and chair of the Department of Surgery, the I.S. Ravdin Master Clinician Award.

Dr. Alison Oler, director of internal medicine for women’s health, and clinical assistant professor of medicine, the Louis Durling Outstanding Primary Care Physician Award.

Dr. Daniel G. Haller, professor of medicine, The Louis Durling Outstanding Clinical Specialist Award.

Dr. Philip L. Cohen, professor of medicine, and Dr. Terri Finkel, associate professor of pediatrics, The Lady Barbara Colyton Autoimmune Research Award.

Dr. Craig B. Thompson, Scientific Director of the Abramson Family Cancer Research Institute and chair of the Department of Cancer Biology, the Stanley N. Cohen Biomedical Research Award.

Dr. Jean Bennett, associate professor of ophthalmology, William Osler Patient Oriented Research Award.

Dr. Harold I. Feldman, professor of medicine and epidemiology and director of the clinical epidemiology unit of the Center for Clinical Epidemiology and Biostatistics, Samuel Martin Health Evaluation Sciences Research Award.

Dr. Mark L. Kahn, assistant professor of cardiology, Michael S. Brown Junior Faculty Research Award.

Dr. David A. Asch, the Robert D. Eilers Professor of Medicine and Health Care Management and Economics, Arthur Asbury Outstanding Faculty Mentor Award.
The following summary regarding proposed revisions to the University’s Alcohol and Drug Policy is drawn largely from the Alcohol Response Team’s full report on pages 6-8. It is also available online at www.upenn.edu/almanac/volumes/v51/n16/art.html.

The Alcohol Response Team (ART) was assembled this fall both in response to a terrible accident at a fraternity party involving a Penn undergraduate and because it has been five years since the last major revision of the University’s Alcohol and Drug Policy.

The committee held meetings throughout the fall, some of which focused on a review of the Alcohol and Drug Policy, both with respect to its philosophical underpinnings and its practical application. The group concluded that, while in general the policy is sound, there were some specific areas that could be strengthened.

In particular, the ART focused its attention on high-risk drinking in social settings and the need for trained, capable students empowered to understand and intervene in problematic situations.

The policy revisions proposed below are intended to make the campus social environment safer and encourage and train Penn students to continue to “take ownership” and work to discourage a culture of high-risk drinking.

—Peter Conn, Interim Provost
Chair, Alcohol Response Team

Proposed Revisions to the University’s Alcohol and Drug Policy

The language proposed by the Alcohol Response Team adds or reshapes policy in the following particular areas:

1) With respect to location of alcohol service and consumption, current policy (Section II-B, Number 6) states: “Alcohol may be served only from a controlled, designated area by sober, trained, of-age bartenders who are unaffiliated with the host organization.”

Proposed Additional Language:

**For the duration of registered events, individual members of host organizations may not entertain guests in private areas, including private bedrooms. Party attendance is restricted to the public area designated for the party. This prohibition applies to alcohol purchased and served by individual members of a host organization even if the alcohol is served in private bedrooms to of-age guests.**

2) On host monitors, the current policy (Section II-B, Number 8) reads: “At all registered events, whether on-campus or at Third Party Vendors, the host organization must identify non-alcohol-consuming host monitors. There shall be at least one such host monitor for each 50 guests. These host monitors must be identified prior to the event and must be visually identifiable (e.g. armband, t-shirt or other visible designation of host monitor status) during the event to those in attendance.”

Proposed Additional Language:

**At all registered events, whether on-campus or at Third Party Vendors, the host organization must identify trained, non-alcohol-consuming host monitors. There shall be at least one such host monitor for each 50 guests. Each academic year, the host monitors must demonstrate to the Director of Alcohol Policy Initiatives that they have been trained to handle emergency situations, are able to respond to alcohol-related medical concerns, can identify and intervene appropriately with overly intoxicated guests whether or not they require medical treatment, understand and are able to carry out preventive safety features regarding the controlled service of alcohol, and evidence a clear understanding of the University’s alcohol and drug policies. These host monitors must be identified prior to the event and must be visually identifiable (e.g. armband, t-shirt or other clear and uniform designation of host monitor status) during the event to those in attendance.”

3) With respect to training students and organizations on matters of alcohol and other substance abuse and hosting safer parties, Section III, Number 3 currently reads: “In order to promote responsible group behavior regarding alcohol use, each student organization having more than 10 members and recognized by DRIA, VPUL, OFSA, SAC, OSL or the College Houses must have at least one member, in addition to the organization’s leader or president, trained about alcohol abuse and able to provide referrals. Failure to meet this requirement could result in the loss of University recognition and/or support.”

Proposed Language:

**In order to promote responsible group behavior regarding alcohol use, each student organization, recognized by DRIA, VPUL, OFSA, SAC, OSL, the undergraduate schools, and/or the College Houses must fulfill the following expectations:**

1. Each recognized organization having more than 10 members must have at least one member, in addition to the organization’s leader or president, trained to identify high-risk drinking and substance abuse within the membership (both individual and collective) and be able to provide appropriate referrals to education and counseling services.

2. Each recognized organization that intends to host registered events with alcohol (both on-campus and at Third Party Vendors), must design and implement an individualized plan to demonstrate competency in managing risk related to alcohol consumption. Such a plan would identify the methods through which the organization will control service of alcohol, promote moderate alcohol consumption, and respond to alcohol-related medical concerns. Each organization must specifically identify appropriate strategies for creating an environment in which alcohol use is secondary to the event itself and which emphasizes food and activities not related to alcohol so as to minimize the risk associated with its presence. A competency plan must be submitted to the Director of Alcohol Policy Initiatives and the appropriate umbrella organization for approval prior to the registration of the first event of each academic year.

Failure to meet or abide by either requirement could result in the loss of University recognition and/or support.

Organizations not officially recognized by the University are encouraged to undertake similar efforts to ensure that high-risk drinking and substance abuse are appropriately addressed within the informal group.

We invite and look forward to your comments by February 15, 2005. Please send any comments or questions to Nancy Nicely in the Office of the Provost, nnicely@pobox.upenn.edu or (215) 898-7227.

—Alcohol Response Team
Report to the President and Provost from the Alcohol Response Team

Submitted: January 3, 2005

History

The Alcohol Response Team (ART), comprised of 18 students, faculty and staff, was convened at the request of President Amy Gutmann and Interim Provost Peter Conn in the fall of 2004. According to Penn’s Alcohol and Drug Policy, the Alcohol Response Team “advises the President and Provost on alcohol policy matters as they arise.” The ART was assembled this fall both in response to a terrible accident at a fraternity party involving a Penn undergraduate and because it has been five years since the last major revision of The University Alcohol and Drug Policy. In the spring of 2004, the Vice Provost for University Life named a “comprehensive evaluation of the alcohol policy” as one of her top priorities for the academic year.

The last time the alcohol policy was reviewed in-depth was in the spring of 1999. On March 30, 1999, the Working Group on Alcohol Abuse (WGAA) was formed in response to a number of serious alcohol-related incidents involving Penn students and the death of a Penn alumnus on March 21, 1999. The Working Group of 15 students and 7 faculty members and administrators was charged to develop practical, substantive recommendations regarding alcohol abuse among Penn undergraduates on both individual and community levels.

The Working Group and its subcommittees met intensively for five weeks. Its members determined that their work should focus on alcohol abuse, not use, and that their goal would be to produce recommendations that would effect significant cultural change among Penn undergraduates. They agreed that the University already had in place reasonable regulations governing appropriate use of alcohol on campus but that the current system of enforcement presented a number of problems, which perpetuated a sense of entitlement felt by Penn students and led to additional problems. The group concluded that stricter enforcement of current policies was needed, designed with the intention of creating a change in attitudes regarding acceptable behavior.

The group quickly determined that the problem of alcohol abuse was not confined to the Greek system and that a more comprehensive approach to the problem was necessary. The Working Group strongly agreed that the primary responsibility for changing perceptions, misperceptions and, ultimately, behavior relating to alcohol abuse rests with individual students and student groups. The Working Group considered ways to prevent alcohol abuse in the context of Education: Ensuring a Supportive Environment; Responsibility/Accountability; Minimizing Risk; and Expanded Social Options. The Working Group strongly agreed that its recommendations should be proactive rather than punitive. Among the 44 initiatives identified with the Working Group’s goals, it was suggested that a standing response team be created to advise the President and Provost as needed.

Summary of ART Meetings

The Alcohol Response Team was convened by Interim Provost Peter Conn and met for the first time in the 2004-2005 academic year on October 4. The group spent much of the initial meeting discussing the “culture” of drinking among 18- to 21-year-olds. There was consensus that it would be appropriate to consider whether the alcohol policy could be strengthened and to that end the committee agreed to review it in detail. It was also agreed that the behavioral changes required to support a safer campus environment could not be accomplished through policy revisions alone.

The ART agreed that the problem of alcohol abuse is not confined to Penn, but is part of a larger national problem that warrants addressing. Some on the committee stated that they believed that the stressful academic environment at Penn, and institutions like Penn, fosters a sense of “excess” e.g. to “work hard and to play hard.” Most members of the ART believe that while there is certainly high risk drinking at Penn, it occurs among a minority of Penn students who are particularly visible. There was a question about whether the University was consistent in the enforcement of its alcohol policies, and a discussion of whether the UPD should be asked to crack down on underage drinking. Several members of the ART believed a more aggressive enforcement approach would risk damaging the positive relations currently existing between most Penn students and UPD. Since the police are the “front line” to call when help is needed, anything that contributes to a sense of distrust could be counterproductive.

At the conclusion of the first meeting the group decided that future meetings should include a focus on the high-risk population, an examination of peer-to-peer groups like Team Sober and DART (Drug and Alcohol Response Team), and a thorough review of the Alcohol and Drug Policy.

During its second meeting on October 20, the ART focused its discussion on high-risk drinking behaviors. Stephanie Ives presented data that captures Penn’s highest risk drinking groups. The ART discussed some problems with how the term “binge” is defined in describing the drinking behaviors of students. Ms. Ives explained that there is a national debate underway on this subject. Historically, binge drinking has been defined by the percentage of students who have consumed four (in the case of women) or five (in the case of men) drinks in an evening. However, the new approach would analyze quantity, frequency and negative consequences suffered.

The ART then heard from two undergraduate members of AA who shared their experiences with drinking and how they came to realize that they were alcoholic. The group asked them to lend insight into what kinds of efforts might help identify and then reach out to other students exhibiting high risk drinking behaviors. The two students, one male and one female, both indicated that it was a “bottoming” moment that made them aware that they had a problem with drinking, after years of denial.

Typical “bottom” experiences might include repeated blackouts, a noticeable change in grades, relationship problems with friends and/or family, hospital and/or jail visits, or any combination of these experiences. The two students indicated that they may or may not have been open to an “intervention.” They also said that as members of the Greek system they did feel that their peers tolerated their drinking patterns and did not confront them. However, once they self-identified as alcoholics, they indicated their Greek peers had been very supportive of their efforts to remain sober. The ART then discussed which types of students could be helped, and agreed that while the students in AA had reached their own epiphany about their high risk drinking, there were other students who may or may not be alcoholic, who might be effectively reached through an intervention of some type. Ms. Ives indicated that while we expect numerous resources on educational efforts, we do not put a lot of resources into intervention efforts for students identified as high-risk drinkers.

The ART met for the third time on November 9. The meeting focused on a review of the Alcohol and Drug Policy. Stephanie Ives and Michele Goldfarb led the ART through a series of both philosophical and practical questions related to the policy, e.g. What should the goal of the policy be and does it presently meet that mission? Are we consistent in our enforcement efforts and are there any areas that should be strengthened or eliminated? The group felt that in general the policy is sound and has withstood the test of time since its adoption five years ago. The group discussed the possibility of extending the medical amnesty clause to organizations, not just individuals, but after discussion, concluded that the risks for doing so outweighed any potential benefit.

Two specific revisions/additions were suggested—one that would address the issue of “parties going on within parties,” on the upper levels of fraternity houses. The other suggestion was to ask organizations hosting events to produce “risk reduction” plans at the beginning of each academic year and present them to the Office of Alcohol Policy Initiatives. Additionally, it was proposed that organizations be prepared for randomly (continued on page 7
conducted “competency” tests, particularly with respect to emergency situations. A brief discussion was held on the Parental Notification policy and there was consensus that the present policy was thoughtful and appropriate and should not be altered.

During its fourth meeting on November 22, the ART focused its discussion on peer-to-peer groups and a continuation of the discussion on potential changes to the Alcohol and Drug Policy.

Nicki Pritz and Sean Levy presented to the group on DART. They explained that DART had undertaken three primary goals this year—to educate the community about high-risk drinking; to clarify its own message; and to expand its exposure. DART has been engaged in a number of training sessions this semester and also participates in New Student Orientation. Sean Levy and Erica Shmerler presented to the group about Team Sober and its present focus to help keep large, multi-house Greek events safe. There was a discussion about possibly expanding the composition of Team Sober to include non-Greek groups. Team Sober has been asked to consider participating in Spring Fling weekend and conversations are underway between the IFC and SPEC to explore this possibility.

The ART concluded that an expansion of these peer-to-peer groups should be considered.

The ART then discussed three specific changes to the Alcohol and Drug Policy, including sections related to risk reduction, “parties within parties” and host monitors. Jason Levine also suggested that another change could include an explicit reference to helping individuals identify and reach out to “high risk” drinkers.

During its fifth and final meeting of the semester on December 2, the ART continued its discussion of potential changes to the Alcohol and Drug Policy, specifically focusing on Section III, Number 3, which addresses “responsible group behavior regarding alcohol use.” The revised language, as presented by Stephanie Ives, includes specific language that would require organizations to identify at least one member to become trained to recognize high-risk drinking and other drug behaviors and to provide appropriate referrals as necessary. This section of the policy also now requires groups registering events to produce a competency plan and present it to the Director of Alcohol Policy Initiatives for approval prior to registering any events. The ART also discussed the possibility of engaging SAC leadership in a discussion about whether or not it could/should mandate competency plans as a requirement for groups requesting SAC funds. It was agreed that a conversation with SAC leadership would be worthwhile as would conversations with other umbrella groups.

The group agreed that it had met its three major goals for the semester:
1. To conduct a thorough and thoughtful review of the Alcohol and Drug Policy and recommend appropriate policy revisions;
2. To suggest programmatic changes that would help contribute to a safer, healthier campus environment;
3. To carefully consider high-risk drinking behavior and develop steps that might be taken to identify and aid students with potential drinking problems.

Proposed Policy Changes

In reviewing the current University Alcohol and Drug Policy and considering amendments or additions to it, the ART ultimately focused its attention on two primary areas of concern—high-risk drinking in social settings and the need for trained, capable students empowered to understand and intervene in problematic situations.

Committee members sought to foster additional measures to minimize excessive, dangerous drinking and also to come up with creative ways to heighten awareness of high-risk drinking among students. ART members grappled with realistic, achievable ways to encourage and train Penn students to continue to “take ownership” of a culture of high-risk drinking and work to make the campus social environment safer. Further, the committee felt that it was important to incorporate these concepts into concrete policy language in order to institutionalize the changes and assure enforceability and compliance. It was also important to the committee to create policy language that respected and expanded upon some of the core concepts of the 1999 WGAA (see “History” section) i.e., ensure a supportive environment, increase individual and group responsibility and accountability and minimize risks associated with excessive alcohol consumption.

Therefore, ART members created proposed language to add or reshape policy in the following particular areas:
1) further control access to alcohol at registered, on-campus parties to ensure the spirit and goal of minimizing risk is met;
2) increase internal oversight by student organizations at their own events;
3) expand training goals for students within organizations to enable them to better address alcohol and drug related problems, particularly high-risk behaviors;
4) and to require groups hosting social events with alcohol to develop “competency” plans to host safer parties.

The language the ART proposed to meet these concerns is as follows—the first two proposed changes deal with numbers 1 and 2 above. The first change addresses unauthorized or uncontrolled alcohol consumption occurring in private areas during registered parties. The second calls for additional host monitors at crowded registered events and seeks to meet the need for those host monitors to be trained and encouraged to do their job effectively.

1) With respect to location of alcohol service and consumption, current policy (Section II-B, Number 6) states: “Alcohol may be served only from a controlled, designated area by sober, trained, of-age bartenders who are unaffiliated with the host organization.”

**Proposed Additional Language:**

“For the duration of registered events, individual members of host organizations may not entertain guests in private areas, including private bedrooms. Party attendance is restricted to the public area designated for the party. This prohibition applies to alcohol purchased and served by individual members of a host organization even if the alcohol is served in private bedrooms to of-age guests.”

2) On host monitors, the current policy (Section II-B, Number 8) reads: “At all registered events, whether on-campus or at Third Party Vendors, the host organization must identify non-alcohol-consuming host monitors. There shall be at least one such host monitor for each 50 guests. These host monitors must be identified prior to the event and must be visually identifiable (e.g. armband, t-shirt or other visible designation of host monitor status) during the event to those in attendance.”

**Proposed Additional Language:**

“**At all registered events, whether on-campus or at Third Party Vendors, the host organization must identify trained, non-alcohol-consuming host monitors. There shall be at least one such host monitor for each 30 guests. Each academic year, the host monitors must demonstrate to the Director of Alcohol Policy Initiatives that they have been trained to handle emergency situations, are able to respond to alcohol-related medical concerns, can identify and intervene appropriately with overly intoxicated guests whether or not they require medical treatment, understand and are able to carry out preventive safety features regarding the controlled service of alcohol, and evidence a clear understanding of the University’s alcohol and drug policies. These host monitors must be identified prior to the event and must be visually identifiable (e.g. armband, t-shirt or other clear and uniform designation of host monitor status) during the event to those in attendance.”**
Report to the President and Provost from the Alcohol Response Team

(continued from page 7)

and able to provide referrals. Failure to meet this requirement could result in the loss of University recognition and/or support.

Proposed Language:

**In order to promote responsible group behavior regarding alcohol use, each student organization, recognized by DRIA, VPUL, OFSA, SAC, OSL, the undergraduate schools, and/or the College Houses must fulfill the following expectations:

1. Each recognized organization having more than 10 members must have at least one member, in addition to the organization’s leader or president, trained to identify high-risk drinking and substance abuse within the membership (both individual and collective) and be able to provide appropriate referrals to education and counseling services.

2. Each recognized organization that intends to host registered events with alcohol (both on-campus and at Third Party Vendors), must design and implement an individualized plan to demonstrate competency in managing risk related to alcohol consumption. Such a plan would identify the methods through which the organization will control service of alcohol, promote moderate alcohol consumption, and respond to alcohol-related medical concerns. Each organization must specifically identify appropriate strategies for creating an environment in which alcohol use is secondary to the event itself and which emphasizes food and activities not related to alcohol so as to minimize the risk associated with its presence. A competency plan must be submitted to the Director of Alcohol Policy Initiatives and the appropriate umbrella organization for approval prior to the registration of the first event of each academic year.

Failure to meet or abide by either requirement could result in the loss of University recognition and/or support.

Organizations not officially recognized by the University are encouraged to undertake similar efforts to ensure that high-risk drinking and substance abuse are appropriately addressed within the informal group.

Programmatic Recommendations

The ART also sought to encourage cultural change through programmatic changes. These include:

• The Response Team recommends expanding peer-to-peer education and intervention programs.

Currently, the Drug and Alcohol Resource Team (DART) serves as Penn’s peer education program with regard to alcohol and other substances. DART conducts educational programs with new members of the Greek system, athletic teams, and College Houses. Team Sober is currently a Greek-specific informal organization whose mission is to ensure student safety during each semester’s Greek Week Party.

The Response Team has proposed that Team Sober and DART both expand their activities accordingly. Team Sober could expand to non-Greek membership and become involved with other large party weekends, such as during Spring Fling or Homecoming. DART could become involved with training representatives from each student organization to identify high-risk drinking and substance abuse within the membership.

• The ART recommends that the University provide the appropriate additional resources to allow DART and Team Sober to expand.

In addition, the Response Team proposes that SAC and other student umbrella groups partner with DART to ensure that all recognized student organizations comply with the new risk reduction initiatives.

• The group recommends that discussions begin with all student governance groups to explore the possibility of mandating that risk reduction programs become a prerequisite to any group applying for recognition and funding.

The Response Team encourages the Student Activities Council, the Interfraternity Council, Panhellenic, the Bicultural InterGreek Council, and other governance groups, to endorse and require membership organizations to comply with the mandate to develop and implement group-specific risk reduction strategies and programs for at-risk members and behaviors. Such strategies would enable organizations to design and employ risk-reduction measures to address potential high-risk drinking among the students within each organization and to curb high-risk drinking practices that might be promoted within the membership.

Respectfully submitted by,

Valarie Swain Cade-McCoulum, Vice Provost for University Life
Peter Conn, Interim Provost, Chair
Michele Goldfarb, Director, Office of Student Conduct
Tory Haavik, Chair, Residential Advisory Board
Stephanie Ives, Director of Alcohol Policy Initiatives
Kevin Jude, VP for Policy, GAPSA
Anne Keane, Undergraduate Dean, School of Nursing
Jason Levine, Chair, UA
Sean Levy, Vice-President, IFC
Nancy Nicely, Executive Director of External Affairs, Office of the Provost
Philip Nichols, Faculty Director, College Houses and Academic Services
Conor O’Callaghan, President, IFC
Enny Peguero, President, Bi-Cultural Inter-Greek Council
Leah Popowich, Associate Director, Office of the President
Nicki Fritz, President, DART
Catherine Redlick, Women’s Crew Team
Tony Rostain, Associate Professor of Psychiatry and Pediatrics, School of Medicine
Erica Shmerler, President, Panhellenic Council
Wendy White, Senior Vice President, Office of General Counsel, ex officio
Dear Penn Community,

Thank you for making this one of the most successful holiday gift giving seasons. Your generosity was unprecedented. Many benefited from your willingness to give. The following is a list of the various efforts:

Thank you to President Amy Gutmann for hosting an Annual Holiday Party where over 350 toys and gifts were collected.

Thank you to the following departments that brought joy to more than 35 families during the holidays by “adopting” them:

- Academic & Enrichment Programs coordinated by Pamela Edwards
- Anesthesia & Otorhinolaryngology coordinated by Tom Hoffman
- Bioethics coordinated by Colleen Becht
- Business Services coordinated by Maria Chaney
- Cell & Developmental Biology coordinated by Kim Sheppard
- Center for Clinical Epidemiology coordinated by Nicole Jones
- Center for Sleep coordinated by Mary Jones-Parker
- College Houses & Academic Services coordinated by Pamela Robinson
- Computer Information Science (SEAS) coordinated by Janean Williams
- Computing Educational Technology Services (SEAS) coordinated by Tonya Revell
- Development, Alumni Records & Gift Administration coordinated by Jane Rafter
- Electronic Acquisition Services coordinated by Jeanne Shuttleworth
- General Counsel coordinated by Helen Logan
- Gift Planning and International Gifts coordinated by Debbie Layton
- Health Education coordinated by Susan Villari
- Housing and Conference Services coordinated by Katrina Terrell
- Library Information Systems coordinated by Nancy Rose
- Space Planning and Operations coordinated by Robin Rozen
- U.L.A.R. coordinated by Mary Jo Pauktis
- University Communications coordinated by Judy West
- Vice President for Government, Community & Public Affairs coordinated by Linda Satchell
- Wharton School Dean’s Office coordinated by Jennifer O’Keefe
- Wharton School External Affairs coordinated by Dawn Downing
- Wharton School Finance & Administration coordinated by Dannie Dingle
- Wharton School Marketing Department coordinated by Marcia Longworth
- Wharton Undergraduate Division coordinated by Meredith Wickman

Thank you to the following Penn families for bringing joy to additional families:

- Tara Friebel and fiancé
- Yvette Acevedo and Leslie Gallagher
- Andrew and Laura Sparks

Thank you to the entire University community for donating over 1,100 gifts and toys.

Thank you to the Dropsite Volunteers that collected all the gifts and toys (see Almanac December at Penn www.upenn.edu/almanac/volumes/v51n3/december04.html) and made it possible for us to respond to the request for donations from our neighbors listed below:

- Councilwoman Janice L. Blackwell’s Holiday Dinner for the Homeless
- Bridges To The Community
- Carroll Park Community Council
- Children’s Services, Inc. at Shaw Middle School
- Church of New Hope & Faith, Inc.
- Intercultural Family Services
- McMichael Public School
- One Day At A Time
- Our Lady of the Rosary Church
- Potter’s House Mission
- Salvation Army
- Word Tabernacle Baptist Church

Additionally, the Penn community was able to provide gifts to the Linda & Paul Smith family featured in the Philadelphia Daily News on December 22, 2004.

Thanks to Amy Forsyth for coordinating the Annual Coat Drive. This year, our Penn Coat Drive benefited the Annual Philadelphia Cares Winter Coat Drive.

Special thanks to the William Penn Charter School’s 3rd grade class and Ms. Corinne Sciolli. They joined with us in our Adopt A Family Program and provided gifts to 2 of our adopted families.

Special thanks to Business Services and Taylor Berkowitz for conducting a toy drive on the Plaza in University Square.

Thank you for your generosity!

Please contact me via e-mail (sammapp@pobox.upenn.edu) or call (215) 898-2020 to volunteer for any of the upcoming programs.

Isabel Mapp, Associate Director, Faculty, Staff and Alumni Volunteer Services, Director, Penn Volunteers in Public Service, Center for Community Partnerships

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January Volunteer Opportunities

Bring your used cell phones back to campus: Friday, January 14 through Friday, January 21. The Undergraduate Assembly, working with The Friends of 40th Street and Drexel University, is sponsoring a cell phone donation drive in January. Donate your old cell phones to Phones for Life: they will repurpose them to dial 911 and distribute them free to senior citizens in West Philadelphia. Phones for Life endeavors to improve the quality of life among senior citizens by meeting their emergency medical access needs through wireless technology. Phones for Life, Inc., is a national distribution center of 911 cell phones and also an informational resource center for members throughout the country. It services the dual purpose of increasing elderly citizens sense of security and protecting the environment. It collects used cellular phones from individual and corporate donors, which are then tested, recycled, programmed and distributed to senior citizens 65 years and older.

Drop phones off at the following locations:

Partial listing of sites:

- President’s Office
- Af-Am Resource Ctr
- Veterinary Medicine
- Engineering
- Renal Division
- Wharton
- Faculty Club
- Cell & Dev. Biology
- Provost Office
- Med Sch/Facilities

Other sites include:

- Penn College Houses, Civic House, the Graduate Student Center, Housing Hall, VPUL, Van Pelt Library, the Health Center.

Collection boxes will also be located on the Drexel University campus and in locations in University City.

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Preparing America’s Future Book Drive: Now through Thursday, January 13. Help prepare the minds of America’s future. Donate new or slightly used children’s books. The donated children’s books will support Philadelphia Reads, which helps young children become independent readers and the Building Bridges project, which works to improve the image of welfare offices and those who receive their services.

Please drop books off at the following locations:

African-American Resource Ctr
Annenberg Public Policy Ctr
Annenberg School
Ctr for Community Partnerships
Civic House
Kissingeng Recreation Ctr
La Casa Latina
LGB
Penn Bookstore
School of Social Work
40th Street Library

For a complete listing of the month of MLK events see the African-American Resource Center’s web site www.upenn.edu/aarc/mlk.

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Penn’s Commitment to the Legacy: Meeting the Challenges of a Diverse Democracy

From January 17 through January 28, there are a variety of programs for the Penn community to participate in commemorating the legacy of Dr. Martin Luther King, Jr. Events taking place on January 17 include: Day of Service Breakfast; School Spruce Up Project; Children’s Banner Painting Service Project; Helping Hands at Houston Hall; Philadelphia Reads Literacy Project; and Candlelight Vigil.

For a complete listing of the month of MLK events see the African-American Resource Center’s web site www.upenn.edu/aarc/mlk.

ALMANAC January 11, 2005
HIPAA Security Protecting Electronic Health Information

The University has recently undertaken an initiative to ensure that all Schools and Centers that handle health information will be in compliance with the Security Rule of the United States’ Health Insurance Portability and Accountability Act of 1996 (HIPAA) by April 21, 2005. Closely related to the HIPAA Privacy Rule (2003) governing the use and disclosure of individually identifiable health information, the Security Rule is largely, but not exclusively, a technology-oriented rule, intended to ensure the confidentiality, integrity, and availability of electronic protected health information (ePHI). UPHS, School of Medicine, School of Dental Medicine, Student Health, H.R.’s Employee Health Program, the College of Nursing’s LIFE program (Living Independently for Elders)—the areas covered by the Privacy Rule—are the primary areas affected by the Security Rule. In addition, many administrative offices across the University must be considered in the compliance initiative based on their access and use of protected health information.

Broad efforts are now underway to identify where electronic health information is stored and to compare our current practices with those soon to be legally mandated. Teams working in affected schools and Centers are engaged in this challenging analysis and in promulgating stronger policies and procedures to properly manage risks. If you work, teach, or study in any of the affected Schools and Centers you’ll hear more over the coming months regarding training and any changes made in administrative policy and physical or technical safeguards.

HIPAA compliance is one more evolutionary step in Penn’s ongoing work to secure its computers, networks, and sensitive data. The criticality of Penn’s reputation requires us to mitigate potential risks of inappropriate visibility of, or access to, sensitive health information. Directly related to our reputation is the trust of our patients and research subjects. With information as deeply personal as health information, maintaining the trust placed in us is vital to the long-term success of the University. We expect our HIPAA Security compliance efforts to result in some best practice models that will be useful in further protecting other sensitive information such as Social Security numbers, grades, and financial information.

Better-coordinated business continuity measures are another important benefit derived from the HIPAA standards. The Security Rule requires electronic protected health information to be backed up routinely and available to appropriate staff in emergencies. Universities and hospitals affected by hurricanes this year in the southeastern U.S. can testify to the importance of business continuity—it is often in times of emergency that reliable processes for information access are most important.

If you have questions about how HIPAA Security may affect you, contact the HIPAA Security Contact in your school or center (see below). To get a copy of the HIPAA Security Rule, go to www.cms.hhs.gov/hipaact. For information about HIPAA compliance activities, see the following:

UPHS—Russ Opland
School of Medicine—Mary Alice Annecarico
School of Dental Medicine—Dan Shapiro
Student Health—Linda Cook
H.R. Employee Health Plan—Geri Zima
Living Independently for Elders—Vince Romano

Note: Electronic Protected Health Information (ePHI): Individually identifiable health information transmitted by electronic media, or maintained in electronic media.

The HIPAA Security Rule addresses the security of ePHI, both as the HIPAA Privacy Rule addresses all forms of PHI.

—Robin Beck, Vice President
Information Systems and Computing

**Week Eight Raffle Winners**

<table>
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<tr>
<td>$25 gift certificate to Arboretum's Spring Plant Sale</td>
<td>Rita Watson</td>
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<tr>
<td>4 tickets to Men's Basketball Game on 1/28 vs. Yale</td>
<td>Mary E. Sharp</td>
</tr>
<tr>
<td>$10 gift certificates for the Houston Market</td>
<td>Susan Kucharski</td>
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<tr>
<td>$50 gift certificate for Abbracci restaurant</td>
<td>Susanne Donovan</td>
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<tr>
<td>$10 gift certificates for the Houston Market</td>
<td>Vijay Kumar</td>
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<tr>
<td>$50 gift certificate for Abbracci restaurant</td>
<td>Sandra Ford</td>
</tr>
</tbody>
</table>

**Grand Prize Winner**

Meagan Schofer—School of Medicine, IBM

**EHRs Training: January 20**

The next live training program is: Introduction to Laboratory and Biological Safety at Penn; January 20, 9:15 a.m.–noon, BRB II/III Auditorium. (Sign-in from 9–9:15 a.m. No admittance after 9:15 a.m. Penn ID required. A Certificate of Completion will be granted at the end of the training. Only those who sign-in and receive the certificate will get credit.) This training provides a comprehensive overview of safe work practices in the biomedical laboratory and meets the U.S. Department of Labor’s Occupational Safety and Health Administration (OSHA) requirements for employees who work with hazardous substances including chemicals, human blood, blood products, fluids and human tissue specimens. All faculty, staff and students at Penn who work in a laboratory and have not previously attended a live training presentation must attend this training. See the Office of Environmental Health, Safety and Radiation Safety (EHSRS) website www.ehrs.upenn.edu/training/index.html
**TALKS**

11 The Afrofuturism of DJ Vassa; Paul Youngquist, Penn State; 4:30 p.m.; Arts Café, Kel-ly Writers House (Writers House).

12 Antigen Receptor and MYC in the Genesis of Lymphoma; Yosef Refaeli, National Jewish Medi-cal and Research Center; 4 p.m.; Grossman Auditor-iurn, Wistar Institute (Wistar).

Research for Health: The Power of Advo-cacy; Mary Woolley, Research!America; 4 p.m.; Medical Alumni Hall, 1 Maloney Bldg., enter thru Gates Bldg. (Nursing).

**Deadline:** The deadline for the February AT PENN calendar is today, January 11. For information see www.upenn.edu/almanac/calendar/calced-real.html.

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**Community Crime Report**

**About the Crime Report:** Below are all Crimes Against Persons and Crimes Against Society from the campus report for December 27, 2004-January 2, 2005. Also reported were 6 Crimes Against Property (including 5 thefts and 1 burglary). Full reports are on the web (www.upenn.edu/almanac/volumes/s11/11/th creport.html). Prior weeks’ reports are also online. —Ed.

This summary is prepared by the Division of Public Safety and includes all criminal incidents reported and made known to the University Police Department between the dates of December 27, 2004-January 2, 2005. The University Police actively patrol from Market Street to Baltimore Avenue and from the Schuylkill River to 43rd Street in conjunction with the Philadelphia Police. In this effort to provide you with a thorough and accurate report on public safety concerns, we hope that your increased awareness will lessen the opportunity for crime. For any concerns or suggestions regarding this report, please call the Division of Public Safety at (215) 898-4482.

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**18th District Report**

14 incidents and 3 arrests (including 9 robberies and 5 aggravated assaults) were reported between December 27, 2004-January 2, 2005 by the 18th District covering the Schuylkill River to 43rd St. & Market St. to Wooland Ave.

<table>
<thead>
<tr>
<th>Date</th>
<th>Time</th>
<th>Location</th>
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<tr>
<td>12/27/04</td>
<td>7:41 AM</td>
<td>100 36th St</td>
<td>Male blocking traffic/Arrest</td>
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<tr>
<td>12/30/04</td>
<td>9:16 AM</td>
<td>3400 Spruce St</td>
<td>Male not authorized to be in area/Arrest</td>
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<tr>
<td>12/30/04</td>
<td>3:39 PM</td>
<td>3405 Civic Center Blvd</td>
<td>Complainant assaulted/Arrest</td>
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<td>12/31/04</td>
<td>10:18 PM</td>
<td>3927 Walnut St</td>
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<td>12:53 AM</td>
<td>500 41st St</td>
<td>Intoxicated driver arrested</td>
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<tr>
<td>01/01/05</td>
<td>1:17 AM</td>
<td>4000 Spruce St</td>
<td>Complainant assaulted by unknown males</td>
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<tr>
<td>01/01/05</td>
<td>10:48 AM</td>
<td>SEPTA Station</td>
<td>Complainant robbed by unknown male</td>
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**Blood Drive at Library Today**

The American Red Cross will hold a faculty/ staff blood drive today, Tuesday, January 11, 10 a.m.—4 p.m. in the Dietrich Reading Room on the first floor of the Van Pelt-Dietrich Library. There is an urgent need for blood at this time; the Penn-Jersey Region has an especially low inventory of O- blood. This is primarily a faculty/staff drive, but student donors are welcome; everyone should sign up for donation, but we will try to accommodate walk-ins. Please, if you are eligible to donate, visit the website and sign up, http://givesblood.org/go.php?bdc=210992.

If you have questions about eligibility, you can check out the ARC website, www.please-giveblood.org. If you have travelled outside the U.S. recently for more than three months, or have any other questions about donation, call (215) 451-4363. Please help save a life! —Evelyn B. Gelhaus, Serials Dept. Van Pelt-Dietrich Library.

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**Classifieds—University**

**Financial Planning**


**Psychotherapy**

Psychotherapy and Consultation Sarah Evan, M.S.W., A.C.S.W. (610) 690-0267. Need help with personal and family difficulties? Call Sarah Evan, formerly with University of Pennsylvania faculty and staff counseling service. Insurances accepted. (610) 690-0267.

Almanac is not responsible for contents of classified ad material.

For information call (215) 898-5274.
Sam Maitin’s Living Legacy at Penn

Over the past 60 years since initially coming to Penn at the age of 16 as a student, Sam Maitin has made his mark across the University and around the globe. Described as “an unrelenting humanist” with “a zest for life” he was a social activist as well as an international artist well known for his joyful, abstract, 3-dimensional constructs. His silkscreen prints, paintings, and sculptures are in museums in the United States and Europe, with several at Penn.

His work appeared on the covers of the Pennsylvania Gazette many times over the decades, including a self portrait (December 1987), genetic technology (June 1997) and his most recent, focussing on the faculty for a Centennial issue (March/April 2002). He has contributed banners and prints to several schools at Penn to commemorate special events such as the tenth anniversary of SAS. See www.upenn.edu/gazette/0501/biberman.html.

At right, Celebration, a 15 x 15 foot polychrome dimensional mural designed and constructed by Sam Maitin, commissioned by Walter and Leonore Annenberg for the Annenberg School for Communication in 1975. The mural, which fills the east wall of the school’s lobby, was on this year’s holiday card from the dean and the school in honor of their gratitude to the artist and the Annenbergs for “their generous and enduring gift. The mural has indeed become an ongoing ‘celebration’ of their friendship, vision, and spirit for the entire Annenberg community—past, present, and future.” See www.asc.upenn.edu/asc/news/articles/122304maitin.html.

When the Christian Association relocated to its current site, it took with it the mural that Sam Maitin had created for it 15 years before and commissioned him to do a new one as well.

Below, “Night” with the Mason Cooley quotation, “No chaos, no creation,” is one of the two newer murals designed for and installed in the CA’s Upper Room; see http://dolphin.upenn.edu/~upennca for a virtual tour of the space. A series of four posters by Sam Maitin showing eight different studies for the murals is available from the CA.

His numerous exhibitions on campus culminate this year with the long-planned Sam Maitin: A Life in Art opening February 10 and running through April 17 at the Arthur Ross Gallery. Works will be shown concurrently at Penn Hillel. There will also be an exhibition at the Burreison Gallery at the Faculty Club. The serigraphs John Ciardi Print, “whatever magic you expect from dreams is heavy on the air,” (above left) and Good Health (above right) were shown there in 1996 in the exhibition Recent Work and other Flights of Fancy.